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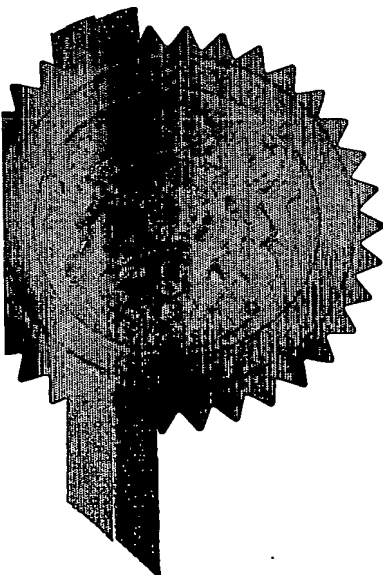
PCT

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The Patent Office

Cardiff Road
Newport
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AHB/CP5955794

2. Patent application number*(The Patent Office will fill in this part)*

0306604.0

21 MAR 2003

3. Full name, address and postcode of the or of each applicant *(underline all surnames)*CURIDIUM LIMITED
THE LONDON BIOSCIENCE
INNOVATION CENTRE
2 ROYAL COLLEGE STREET
LONDON NW1 0TUPatents ADP number *(If you know it)*

8833770002

If the applicant is a corporate body, give the country/state of its incorporation

GB

4. Title of the invention

SECOND MEDICAL USE

5. Name of your agent *(If you have one)*

"Address for service" in the United Kingdom to which all correspondence should be sent *(including the postcode)*

MEWBURN ELLIS
York House
23 Kingsway
London WC2B 6HPPatents ADP number *(If you know it)*

109006

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and *(If you know it)* **the or each application number**

Country

Priority application number
*(If you know it)*Date of filing
*(day / month / year)***7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application**

Number of earlier application

Date of filing
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YES

- a) any applicant named in part 3 is not an inventor, or*
 - b) there is an inventor who is not named as an applicant, or*
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11. I/We request the grant of a patent on the basis of this application.

Signature
Newburn Ellis

Date
20/3/2003

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SUSAN HARRISON

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SECOND MEDICAL USE

The present invention relates to the use of certain compounds for the treatment of mental disorders, in particular schizophrenia, and to methods for determining the suitability of compounds for such a use.

Background to the Invention:

10 Schizophrenia, a devastating mental disorder, is a chronic disease characterised by severe psychological symptoms such as perception (hallucinations), ideation, reality testing (delusions), thought processes (loose associations), feeling (flatness, inappropriate effect), behaviour (catatonia, 15 disorganisation), attention, concentration, motivation (avolition, impaired intentions and planning) and judgement (see for example Diagnostic and Statistical Manual of Mental Disorders IV, American Psychiatric Association). In general the disease symptoms are divided into positive and negative 20 symptoms with hallucinations and delusions being positive features and features such as flatness, poverty of speech and impaired executive functions representing negative symptoms. Clinical rating scales such as Positive and Negative Syndrome Scale (PANSS, Kay 1991) and Scale for the Assessment of 25 Negative Symptoms (SANS, Andreasen 1982) provide criteria to differentiate between, and rate, positive and negative symptoms. Frequently included in the description of negative symptoms are the cognitive deficits schizophrenic and schizotypal patients suffer from. These include impairment 30 in attention, verbal fluency, executive functions such as planning, working memory and visual and verbal learning and memory. These types of cognitive dysfunction can be measured with a variety of tests, such as Visual Search (Portnoff et

al. 1981, Kurachi et al. 1994), Verbal Fluency, Wisconsin Card Sorting, Trail Making - Part B (see Goldberg et al. 1988), Symbol Digit, Hopkins Verbal Learning, Digit Span, Stroop-Color-Word and Attentional Capacity (see Mahurin et al. 1998).

5 Importantly, it has been found that cognitive measures predict work function and overall outcome as assessed by the Global Assessment Scale and Quality of Life Scale (see Meltzer et al. 1996). Several studies have now demonstrated that
 10 neuropsychological functions, reflecting several negative and cognitive symptoms of the disease, may be more impaired in male schizophrenic patients when compared to female patients (see Goldstein et al. 1998, 1994, Goldstein and Link, 1988). Further, there are a number of other psychiatric diseases such
 15 as schizotypal and schizoaffective disorder, other acute- and chronic psychoses and bipolar disorder which have an overlapping symptomatology with schizophrenia.

Suicide is the major cause of premature death in patients with schizophrenia, with 40% of patients reporting suicidal
 20 thoughts, 2 to 40% making unsuccessful suicide attempts and 9% to 13% ending their lives in suicide (Siris, 2001, Meltzer, 1998). Although the pathophysiology of suicide and suicidality remains unclear, the 5-HT_{2A} receptor has been
 25 associated with suicide (Du et al, 2001, Pandley et al, 1997). A recent report (Niswender et al, 2001) describes altered levels of an edited form of 5-HT_{2C} receptor messenger RNA in suicide victims.

The aetiology of schizophrenia is still poorly understood and
 30 the causes of the disease are thought to be multifactorial. Evidence has been generated to support the fact that

~~schizophrenia is partially a genetic disease (see Baron, 2001,~~
 Bassett et al. 2001, Tsuang et al. 2001). The two most

reproducible associations with schizophrenia are 1) homozygosity of a dopamine D3 receptor gene variant and 2) a non-functional polymorphism in the serotonin 5-HT2A receptor gene. It is very likely that environmental effects also play a role in the development of the disease (Tsuang et al. 2001). Thus far, no single gene or factor has been found to definitely associate with schizophrenia although many scientific findings point to a hyperactivity in the prefrontal cortex of schizophrenics and a different neuronal packing in that brain structure. Accordingly, a reduction in the levels of pre-synaptic gene transcripts has been found (for review see Lewis and Lieberman, 2000).

Based on the pharmacological actions of antipsychotic drugs as well as pathophysiological data, several hypotheses have been suggested to explain the aetiology of schizophrenia. Resulting from the mechanism of action of the first generation of anti-psychotic drugs, the dopamine hypothesis of schizophrenia was proposed (suggesting the disease to be caused by hyperactivity of the dopaminergic system, Carlsson 1988, Seeman and Snyder 1975). As this theory could merely explain the positive symptoms of the disease, other hypotheses have been suggested which could explain both the positive and negative symptomatology of schizophrenia. In particular, the glutamate hypothesis, in view of the activity of the street drug and NMDA receptor antagonist phencyclidine (PCP, angel dust), appears to clarify both positive and negative symptoms of the disease (see Olney et al, 1999). Nowadays, the glutamate transmitter system is considered to play a primary role in the development, and possibly treatment, of negative symptoms of schizophrenia (Olney et al. 1999, Bunney et al. 2000). Also the involvement of GABA-ergic, serotonergic and/or cholinergic neurotransmitter systems have been proposed

(see Meltzer 2000, Carlsson et al. 2000, Dean 2000, Lewis et al. 1999). Further, a significant body of evidence points to a possible neurodevelopmental cause of the disease (see Weinberger 2000). Altogether, the origin of schizophrenia and several related psychiatric disorders remains unclear and it is likely that the complex aetiology of the disease involves several neurotransmitter systems.

Schizophrenia and related psychotic disorders are currently treated with a variety of antipsychotic drugs. However, the side-effect profile of such drugs can be severe, with side effects including the frequently described extra-pyramidal syndrome (EPS) which is characterised by dyskinesia, akathasia, dystonia and Parkinsonian syndrome often of an irreversible nature (e.g. Casey et al, 1994). According to Deniker and colleagues (Deniker, 1983) antipsychotic activity coincides with EPS and the two could not be dissociated. This concept was generally accepted and was confirmed when a clear correlation between dopamine D2 receptor blockade and antipsychotic activity was observed (Seeman and Lee, 1975, Creese et al, 1976) and the degree of occupancy of the same dopamine receptor was shown to correlate with severity of EPS (Farde et al, 1992).

Many neuroleptic drugs significantly reduce the positive symptoms of schizophrenia but do not affect the negative symptoms of the disease. Further, around 25% of all schizophrenic patients are treatment-resistant to typical antipsychotics and approximately 20% of all patients demonstrate a very severe side-effect profile and cannot, therefore, be treated with these drugs.

Atypical antipsychotics, such as clozapine, cause little or no EPS (Matz et al, 1974, Kane et al, 1993). It has also been found that clozapine's occupancy of the D2 receptor was lower than that of typical D2 receptor blocking antipsychotics (Farde et al. 1989, Pilowski et al. 1992). Clozapine was the first true atypical antipsychotic which significantly improved negative and cognitive deficits in schizophrenia. Clinical studies have found clozapine to be superior to typical as well as other so-called "atypical" antipsychotic drugs - not only does it not produce EPS but also it does not induce increases in serum prolactin levels and appears to ameliorate negative symptoms of the disease.

Although nowadays many novel antipsychotics are termed "atypical", the criteria for this definition are not clear cut (see Meltzer et al. 1989 for original criteria). Based on the activity profile of clozapine, clinical criteria for novel atypical antipsychotic drugs should include: 1) superior efficacy not only against positive but also against negative (including cognitive) symptoms; 2) efficacy to treat patients refractory to conventional antipsychotic drugs and 3) limited adverse effects profile including no (or minimal) causation of EPS or tardive dyskinesia and a minimal effect on serum prolactin levels (see Waddington and Quinn, 2000).

25

Generally, typical neuroleptic drugs are very potent dopamine D2 receptor blockers. Clozapine, typifying "atypical" drugs, interacts with a large number of neurotransmitter receptors and it is thought that interaction with one or a few receptors may be key to its atypical activity. It has much lower affinity for dopamine D2 receptor and interacts with α -adrenoceptors, histamine, serotonin (5-HT), muscarinic acetylcholine receptors in addition to certain dopamine

30

receptors (Table 1). (As the 5-HT receptor nomenclature has changed over the past decades, it is recommended to refer to Hoyer et al. (1994) for a recent review.)

- 5 Table 1 represents the pharmacological characteristics (expressed as affinity constants) of a range of typical and "atypical" antipsychotic drugs at several human recombinant neurotransmitter receptors.
- 10 To improve the understanding of schizophrenia, genes involved in the mediation of action of effective drug treatments have been studied (pharmacogenomics). For psychiatric diseases, and again specifically for schizophrenia, several receptor and "drug target" genes have been investigated with respect to
- 15 drug treatment response. Pickar and Rubinow (2001) review the latest data from recent pharmacogenomic studies on clozapine response in schizophrenic patients. Many of the genes encoding the receptors with which clozapine interacts (see Table 1) have been investigated for their role in clozapine
- 20 treatment response. Particularly dopamine and serotonin (5-HT) receptor genes have been studied. Thus far no consistent associations have been found. Two studies have reported associations between different alleles of the dopamine D3 receptor, but the results of both studies are opposing.
- 25 Interestingly, several studies (six out of ten) have demonstrated positive associations between allelic variants of the 5-HT_{2A} receptor gene and clozapine response, although the effect is small.
-

Table 1. Pharmacological profiles of typical and "atypical" antipsychotic drugs*

Typical Antipsychotic	5-HT _{1A}	5-HT _{2A}	5-HT _{2C}	5-HT ₃	5-HT ₆	5-HT ₇
Chlorpromazine	5.5 ⁵	8.7 ⁸	7.6 ¹		8.4 ²	7.6 ²
Fluphenazine	<5 ⁵	8.6 ⁸	6.2 ¹		7.8 ²	8.1 ²
Fluspirilene	7.3 ⁶	8.0 ⁶	5.7 ⁶	<5.3 ⁶		7.5 ⁶
Haloperidol	5.6 ⁵	6.7 ^{6,10}	5.4 ¹⁰	<5.3 ⁶	<5.3 ²	6.6 ²
	5.8 ⁶	7.7 ⁸	5.6 ¹			6.4 ⁶
Loxapine	5.5 ⁵	8.7 ⁸	8.0 ¹		7.8 ²	7.4 ²
Pimozide		8.1 ⁸	<5 ¹		7.2 ²	9.3 ²
Sulpiride		<5 ⁸	<5 ¹		<5.3 ²	<5.3 ²
Thioridazine	6.5 ⁵	8.2 ⁸	7.1 ¹		7.5 ⁷	7.2 ²
					8.2 ²	
Thiothixene	5.9 ⁵	7.3 ⁸	5.8 ¹		7.4 ²	7.9 ²
"Atypical" Antipsychotic						
Amperozide	5.9 ¹⁹	7.9 ⁸	5.9 ¹	<5 ¹⁹	7.2 ²	6.3 ²
Clozapine	5.7 ⁵	8.3 ⁸	8.1 ¹	7.2 ¹³	8.0 ⁷	8.2 ²
	6.9 ⁶	8.0 ⁶	7.9 ⁶	7.0 ⁶	8.4 ²	7.7 ⁶
			8.0 ¹⁰			7.7 ¹⁰
Fluperlapine		8.1 ⁸	7.7 ¹		7.8 ²	8.3 ²
Iloperidone	6.8 ¹⁸	8.3 ¹⁰	7.4 ¹⁰		7.4 ¹⁰	7.7 ¹⁰
Olanzapine	5.6 ⁶	8.8 ¹³	8.2 ¹⁰	7.2 ¹³	8.6 ²	7.0 ²
			7.3 ¹³	7.1 ⁶		6.9 ⁶
Pipamperone	5.6 ⁶	8.3 ⁶	6.9 ⁶	<5.3 ⁶		6.8 ⁶
Risperidone	6.4 ⁶	9.7 ⁸	7.9 ¹⁰	<5.3 ⁶	<6 ⁷	8.9 ²
		9.3 ⁶	7.2 ⁶		6.4 ²	8.8 ⁶
Seroquel	6.5 ⁶	7.1 ⁶	5.9 ¹⁰	6.8 ⁶		6.5 ⁶
		6.8 ⁴	5.4 ⁶	5.4 ⁶		
Sertindole	6.6 ⁶	10.0 ¹⁹	8.8 ¹⁹	5.5 ⁶	9.13 ¹⁹	8.0 ¹⁹
Ziprasidone	7.9 ⁶	8.9 ⁶	7.9 ⁶	5.5 ⁶	6.9 ¹⁴	7.6 ¹⁴
						8.3 ⁶
Zotepine	6.5 ⁶	9.2 ⁸	8.5 ⁶	6.6 ⁶	9.0 ²	8.8 ²
		8.6 ⁶				8.0 ⁶
Other Drugs						
Ritanserlin	6.3 ¹¹	9.6 ¹¹	9.0 ¹¹	<5 ¹¹		7.8 ¹¹

Table 1. continued

Typical Antipsychotic	D ₁	D _{2-S}	D _{2-L}	D ₃	D ₄	D ₅
Chlorpromazine	7.3 ⁹	8.5 ¹¹ 9.3 ³	8.3 ¹¹ 8.9 ³	8.9 ³	7.4 ¹² 7.9 ⁴	
Fluphenazine	7.8 ⁹		9.2 ¹⁸		7.3 ¹² 8.0 ⁴	
Fluspirilene		8.9 ⁶	9.2 ⁶	8.4 ⁶	8.4 ⁶	
Haloperidol	7.3 ⁹ 7.1 ¹⁰	8.7 ⁶ 9.3 ³	8.7 ⁶ 9.2 ³	8.1 ⁶ 8.6 ³	8.3 ¹² 8.0 ^{4,6}	
Loxapine	7.5 ¹⁸		8.1 ¹⁸		7.9 ⁴	
Pimozide	6.0 ⁹	9.7 ¹¹ 9.5 ³	9.5 ¹¹ 9.1 ³	9.3 ³	7.4 ¹² 7.5 ⁴	
Sulpiride	<5 ⁹	7.0 ¹¹ 8.6 ³	7.2 ¹¹ 8.1 ³	8.1 ³	7.3 ¹²	
Thioridazine	7.9 ⁹	8.4 ¹¹ 8.9 ³	8.1 ¹¹ 8.6 ³	8.6 ³	7.9 ¹² 8.4 ⁴	
Thiothixene	6.5 ⁹		9.2 ⁸		7.1 ⁴	
"Atypical" Antipsychotic						
Amperozide	5.1 ¹⁹	6.5 ³	6.4 ³	6.6 ³		
Clozapine	7.4 ⁹ 6.7 ¹⁰	6.8 ⁶ 7.5 ³	6.7 ⁶ 7.2 ³	6.6 ⁶ 7.1 ³	7.4 ⁶ 8.0 ^{4,1} 2	6.6 ¹⁰
Fluperlapine	6.8 ⁸		6.5 ⁸		7.3 ¹⁰ 6.9 ⁴	
Iloperidone	6.7 ¹⁰	7.9 ¹⁰	8.2 ¹⁰	8.1 ¹⁰	7.6 ¹⁰	6.5 ¹⁰
Olanzapine	8.1 ¹³ 7.5 ¹⁰	7.7 ⁶	7.5 ⁶	7.3 ⁶	7.6 ^{6,1} 3	
Pipamperone	5.6 ⁶	7.0 ⁶	6.9 ⁶	6.6 ⁶	8.0 ⁴ 8.3 ⁶	
Risperidone	6.3 ¹⁰	8.2 ⁶ 8.9 ³	8.2 ⁶ 8.8 ³	7.9 ⁶ 8.2 ³	7.8 ⁴ 7.8 ⁶	
Seroquel	5.9 ¹⁰	6.4 ⁶	6.2 ⁶	6.5 ⁶	5.8 ⁶ 5.9 ⁴	
Sertindole	6.8 ^{9,19}	8.2 ⁶	7.9 ¹⁹	8.0 ⁶	7.6 ¹⁹	
Ziprasidone	6.3 ¹⁴	8.4 ⁶	8.4 ¹⁴ 8.3 ⁶	8.1 ¹⁴ 8.0 ⁶	7.5 ¹⁴ 7.4 ⁶	
Zotepine	7.5 ⁹	8.3 ⁶	8.0 ⁶	8.2 ⁶	7.4 ⁶ 8.2 ⁴	
Other Drugs						
Ritanserlin	5.9 ¹¹		7.4 ¹¹	7.1 ¹¹		

Table 1. continued

Typical Antipsychotic	M ₁	M ₂	M ₃	M ₄	M ₅	mACh
Chlorpromazine	7.6 ¹ ₅	6.8 ¹⁵	7.2 ¹⁵	7.4 ¹⁵	7.4 ¹⁵	7.2 ¹⁶
Fluphenazine						5.3 ¹⁶
Fluspirilene						<5.3 ⁶
Haloperidol						<5 ¹⁶
Loxapine	7.2 ¹ ₅	6.5 ¹⁵	6.4 ¹⁵	6.5 ¹⁵	6.6 ¹⁵	6.3 ¹⁶
Pimozide						
Sulpiride						
Thioridazine	8.6 ¹ ₅	7.9 ¹⁵	7.8 ¹⁵	8.0 ¹⁵	7.9 ¹⁵	7.7 ¹⁶
Thiothixene	5.6 ¹ ₅	5.7 ¹⁵	5.8 ¹⁵	5.8 ¹⁵	5.4 ¹⁵	5.5 ¹⁶
"Atypical" Antipsychotic						
Amperozide						6.3 ¹⁹
Clozapine	8.5 ¹ ₅	7.3 ¹⁵	7.7 ¹⁵ 8.2 ¹³	8.0 ¹⁵ 8.2 ¹³	8.0 ¹⁵ 8.3 ¹³	7.9 ¹⁶
	8.9 ¹ ₃					
Fluperlapine	8.1 ¹ ₅	7.1 ¹⁵	7.4 ¹⁵	7.9 ¹⁵	7.7 ¹⁵	
Iloperidone						<5 ¹⁷
Olanzapine	8.6 ¹ ₃		7.9 ¹³	8.0 ¹³	8.2 ¹³	8.7 ¹³ 7.6 ⁶
Pipamperone						<5.3 ⁶
Risperidone	5.0 ¹ ₅	5.4 ¹⁵	<5 ¹⁵	5.5 ¹⁵	<5 ¹⁵	<5.3 ⁶
Seroquel	6.9 ¹ ₃		6.2 ¹³	6.6 ¹³	5.5 ¹³	6.0 ⁶
Sertindole						<5.6 ¹⁹
Ziprasidone						<5.3 ⁶ <6 ¹⁴
Zotepine	7.7 ¹ ₅	6.9 ¹⁵	7.1 ¹⁵	7.1 ¹⁵	6.6 ¹⁵	6.3 ⁶
Other Drugs						
Ritanserlin						5.4 ¹¹

Table 1. continued

Typical Antipsychotic	α_1	α_2	H ₁	sigma
Chlorpromazine	8.6 ¹ ₆	6.1 ¹⁶	8.0 ¹⁶	
Fluphenazine	8.0 ¹ ₆	5.8 ¹⁶	7.7 ¹⁶	
Fluspirilene	7.0 ⁶	<5.3 ⁶	6.3 ⁶	6.8 ⁶
Haloperidol	8.2 ¹ ₆	5.4 ¹⁶	5.7 ¹⁶	9.0 ⁶
Loxapine	7.6 ¹ ₆	5.6 ¹⁶	8.3 ¹⁶	
Pimozide				
Sulpiride				
Thioridazine	8.3 ¹ ₆	6.1 ¹⁶	7.8 ¹⁶	
Thiothixene	8.0 ¹ ₆	6.7 ¹⁶	8.2 ¹⁶	
"Atypical" Antipsychotic				
Amperozide	6.2 ¹ ₉	5.5 ¹⁹	6.9 ¹⁹	6.3 ¹⁹
Clozapine	8.0 ¹ ₆	6.8 ¹⁶	8.6 ¹⁶	<5.3 ⁶
Fluperlapine				
Iloperidone	9.6 ¹ ₇	7.5 ¹⁷		7.5 ¹⁷
Olanzapine	7.2 ¹ ₃	6.6 ¹³	8.2 ¹³	<5.3 ⁶
Pipamperone	7.2 ⁶	6.2 ⁶	<5.3 ⁶	7.3 ⁶
Risperidone	8.6 ⁶	8.1 ⁶	7.7 ¹⁴ 8.6 ⁶	6.0 ⁶
Seroquel	7.2 ⁶	6.0 ⁶	6.7 ⁶	<5.3 ⁶
Sertindole	8.7 ¹ ₉	6.5 ¹⁹	6.3 ¹⁹	6.9 ⁶
Ziprasidone	8.0 ¹ ₄	<6	7.3 ¹⁴	<5.3 ⁶
Zotepine	8.5 ⁶	6.0 ⁶	8.5 ⁶	6.3 ⁶
Other Drugs				
Ritanserlin	7.5 ¹¹	7.3 ¹¹	8.2 ¹¹	6.1 ¹¹

*Data are expressed as affinity values ($-\log K_i$, or $-\log K_D$ if indicated) for human receptors, if possible. See note following references for species used. ¹ Roth et al, 1992 (rat); ² Roth et al, 1994 (rat); ³ Malmberg et al, 1993 (human); ⁴ Roth et al, 1995 (rat); ⁵ Wander et al, 1987 (human); ⁶ Schotte et al, 1996 (5-HT_{1A}-rat, 5-HT_{2A}-human, 5-HT_{2C}-pig, 5-HT₃-mouse, 5-HT₇-mouse, D₁-rat, D_{2/3/4}-human, mACh-rat, H₁-guinea-pig, sigma-guinea-pig); ⁷ Glatt et al, 1995 (rat); ⁸ Meltzer et al, 1989b (rat); ⁹ Kanba et al, 1994 (human); ¹⁰ Kongsamut et al, 1996 (all human with exception of rat 5-HT₆ and 5-HT₇); ¹¹ Leysen et al. 1993a (human and for ritanserin data also rat); ¹² van Tol et al, 1991 (human); ¹³ Bymaster et al, 1996 (human D_{1/2/4}, 5-HT_{2A}, 5-HT_{2C}, m₁-m₅, rat 5-HT₃, $\alpha_{1/2}$, H₁); ¹⁴ Seeger et al, 1995 (all rat with exception of human D_{2/3/4} and H₁); ¹⁵ Bolden et al, 1992 (human, expressed as K_D); ¹⁶ Richelson and Nelson, 1984 (human, expressed as K_D); ¹⁷ Szewczak et al, 1995 (rat); ¹⁸ Corbett et al, 1993 (rat), ¹⁹ Leysen 2000 (human). Abbreviations used: 5-HT, serotonin receptor; D, dopamine receptor; D₂-S / D₂-L, short and long form of D₂ receptor, respectively; M or mACh, muscarinic acetylcholine receptor; α , α -adrenoceptor; H, histamine receptor, sigma, σ receptor.

There are data to suggest that, of all neurotransmitter receptors targeted by clozapine, the 5-HT_{2A} receptor gene and possibly the D₃ receptor gene may play a (limited) role in the susceptibility to the development of schizophrenia and maybe also participate in the response to clozapine treatment. Other serotonin receptor targets, such as the 5-HT_{2C} receptor (gene), have not been highlighted in these reviews and are believed not to play a significant role in either risk to the disease or response to clozapine treatment (see O'Donovan and Owen, 1999, Baron, 2001, Bassett et al. 2001, Pickar and

Rubinow, 2001). Substantial research efforts are currently underway to identify genes or factors which represent a more significant risk factor to schizophrenia and/or related psychiatric disorders.

5
Although it has been recognised that clozapine and several other effective (atypical) antipsychotics are potent 5-HT_{2C} receptor antagonists, a possible role for 5-HT_{2C} receptor antagonism in antipsychotic medication is generally not
10 favoured. For example, Canton and colleagues (1991) presented data suggesting a correlation between 5-HT_{2C} receptor affinity and efficacy of clozapine (and atypical antipsychotic activity in general). However, Roth and co-workers (1992) presented data demonstrating that high affinity for the 5-HT_{2C} receptor
15 does not correlate with their selection of "atypical" antipsychotics and therefore discarded the hypothesis that 5-HT_{2C} receptor antagonism may be an important component of atypical antipsychotic drugs. Further reviews, which have discussed the putative role of 5-HT_{2C} receptor antagonists in
20 antipsychotic treatments, have primarily concluded that 5-HT_{2C} receptor antagonism 1) does not contribute to antipsychotic activity (Leysen et al. 1993), 2) leads to weight gain (Leysen 2000) and 3) that it functionally opposes 5-HT_{2A} receptor antagonism (Meltzer 1999).

25
Although several of the new generation of antipsychotic drugs demonstrate limited extra-pyramidal side effects (often only at low doses), not all are devoid of increases of prolactin release (as specified in the original definition). Further,
30 few of the new antipsychotics have been reported to ameliorate negative symptoms or cognitive deficits of the disease.

Several groups including, Altar et al. (1986), Leysen and colleagues (1988, 1993) and Meltzer (1994, 1996, 1999), recognized that many effective antipsychotic drugs have a high 5-HT_{2A} receptor affinity in addition to moderate to high affinity for the D₂ receptor. Meltzer et al. reported that specifically "atypical" antipsychotics have a high ratio of 5-HT_{2A}/D₂ receptor affinity (Meltzer et al. 1989, reporting rat data). However, the correlation between the 5-HT_{2A}/D₂ ratio was not ideal, e.g. loxapine and zotepine were outliers, and it was suggested that it should only be used as a rapid screening tool. Table 2 lists the 5-HT_{2A}/D₂ receptor affinity ratios for typical and "atypical" antipsychotics determined mainly at human recombinant receptors and a similar relationship as reported by Meltzer et al. (1989) can be observed.

Table 2. Receptor Affinity ratios for several typical and atypical antipsychotic drugs*

Typical Antipsychotic	5-HT _{2A} /D ₂	5-HT _{2C} /5-HT _{2A} (2C/2A)	5-HT _{2C} /D ₂ (2C/D2)	(2C/2A)+ (2C/D2)	H ₁ /D ₂
Chlorpromazine	1.01	0.87	0.88	1.75	0.93
Fluphenazine	0.93	0.72	0.67	1.39	0.84
Fluspirilene	0.87	0.71	0.62	1.33	0.68
Haloperidol	0.75	0.76	0.61	1.37	0.63
Loxapine	1.07	0.92	0.99	1.91	1.02
Pimozide	0.87	<0.6	<0.54	<1.14	
Sulpiride	<0.65	<1	<0.65	<1.65	
Thioridazine	0.98	0.87	0.85	1.72	0.93
Thiothixene	0.79	0.79	0.63	1.43	0.89
"Atypical" Antipsychotic					
Amperozide	1.23	0.76	0.92	1.67	1.08
Clozapine	1.16	0.98	1.14	2.12	1.23
Fluperlapine	1.25	0.95	1.18	2.13	
lloperidone	1.01	0.89	0.90	1.79	
Olanzapine	1.17	0.89	1.04	1.93	1.05
ORG-5222	1.10	1.02	1.13	2.15	1.02
Pipamperone	1.20	0.83	1.0	1.83	<0.77
Risperidone	1.12	0.80	0.89	1.69	0.9
Seroquel	1.13	0.81	0.92	1.73	1.08
Sertindole	1.27	0.89	1.11	1.99	0.79
Ziprasidone	1.06	0.89	0.94	1.83	0.87
Zotepine	1.08	0.96	1.06	2.02	1.06
Other Drugs					
Ritanserlin	1.29	0.94	1.22	2.15	1.10

5 * For the affinity ratios, data from Table 1 have been used

During the past decade, the relatively high affinity of atypical antipsychotic drugs for the 5-HT_{2A} receptor combined with lower affinity for D₂ receptors has generally been seen as the single most important factor differentiating atypical from typical antipsychotic drugs (Richelson 1996, Leysen et al. 1993, Meltzer 1994, 1996, 1999). Other pharmacological characteristics thought to be of importance in "atypical" antipsychotic activity are dopamine D₁ and/or D₄ receptor antagonism, possibly serotonin 5-HT_{1A}, 5-HT₆ and 5-HT₇ receptor antagonism, anticholinergic properties and adrenergic α ₁-receptor antagonism (Lieberman, 1993, Meltzer, 1994, 1999, Richelson, 1996, Leysen, 2000).

In spite of the extensive studies that have been performed in respect of the treatment of schizophrenia, particularly in the assessment of the relationship between the desirable effects caused by atypical anti-psychotics and receptor antagonism, there remains a need for the identification of candidate drugs which address cognitive deficits, as well as the negative aspects of the condition in particular, but which also address the aspects of the condition which result in suicide.

Summary of the invention

The present invention is based upon the discovery that compounds which antagonise the 5-HT_{2C} receptor are particularly suitable for the treatment of certain groups of schizophrenia sufferers, as well as for the treatment of patients suffering from related disorders. The invention also provides means for determining the suitability of compounds for use in the treatment of schizophrenia and related psychiatric disorders. More particularly, the relative affinity of candidate compounds for the 5-HT_{2C} receptor is

assessed and, dependent on that affinity, the suitability of such compounds for the treatment of schizophrenia and related psychiatric disorders is determined.

5 Detailed description of the invention

In its first aspect, the present invention provides the use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of cognitive dysfunction in or
10 negative symptoms of schizophrenia, in the treatment of refractory schizophrenia or in the treatment of suicidality or mild cognitive impairment, with the proviso that:

- (a) for the indications cognitive dysfunction, negative symptoms of schizophrenia and refractory schizophrenia, the 5-
15 HT_{2C} receptor antagonist is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone; and
(b) for the treatment of schizophrenic suicidality, the 5-HT_{2C} receptor antagonist is other than clozapine.

20 In its second aspect, the present invention provides the use of a compound having a relative 5-HT_{2C} affinity of ≥ 1.80 , wherein the relative 5-HT_{2C} affinity is determined according to formula I:

25 Formula I:

$$30 \quad \begin{array}{ccc} X & & X \\ - & + & - \\ A & & B \end{array}$$

[wherein X is the average affinity of a compound for interaction at the 5-HT_{2C} receptor and A and B are the average
affinity values of a compound for interaction at two major

sites other than the 5-HT_{2C} receptor] in the preparation of a medicament for the treatment of cognitive dysfunction in or negative symptoms of schizophrenia, in the treatment of refractory schizophrenia or in the treatment of suicidality or mild cognitive impairment, with the proviso that:

- (a) for the indications cognitive dysfunction, negative symptoms of schizophrenia or refractory schizophrenia, the compound is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone; and
- (b) for the treatment of schizophrenic suicidality, the compound is other than clozapine.

In a third aspect, the present invention provides a method for determining the suitability of a candidate compound for use in the treatment of cognitive dysfunction in or negative symptoms of schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment, which comprises:

- a) assessing the affinity of the compound at the 5-HT_{2C} receptor;
- b) assessing the affinity of the compound at at least two other major sites of said compound interaction;
- c) applying the assessed affinities to the following formula:

$$\frac{X}{A} + \frac{X}{B} = Y$$

[wherein: X is the average affinity of a compound for interaction at the 5-HT_{2C} receptor and A and B are the average affinity values of a compound for interaction at two major sites other than the 5-HT_{2C} receptor];
and selecting compounds in which $Y \geq 1.80$ as suitable compounds for the treatment of cognitive dysfunction in or

negative symptoms of schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment, provided that:

- (a) for the treatment of cognitive dysfunction in or negative symptoms of schizophrenia or refractory schizophrenia, the compound selected is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone; and
- (b) for the treatment of schizophrenic suicidality, the compound selected is other than clozapine.

10

In a preferred embodiment of this aspect of the invention, there is provided a method for determining the suitability of a candidate compound for use in the treatment of cognitive dysfunction in or negative symptoms of schizophrenia or

15 refractory schizophrenia, which comprises:

- a) assessing the affinity of the compound at the 5-HT_{2C} receptor;
- b) assessing the affinity of the compound at at least two other major sites of said compound interaction;
- 20 c) applying the assessed affinities to the following formula:

$$\frac{X}{A} + \frac{X}{B} = Y$$

25

[wherein: X is the affinity of a compound for interaction at the 5-HT_{2C} receptor and A and B are the average affinity values of a compound for interaction at two major sites other than the 5-HT_{2C} receptor];

- 30 and selecting compounds in which $Y \geq 1.80$ as suitable compounds for the treatment of cognitive dysfunction in or negative symptoms of schizophrenia or refractory

~~schizophrenia, provided that the compound selected is other~~

than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone.

In a further preferred embodiment of this aspect of the invention, there is provided a method for determining the suitability of a candidate compound for use in the treatment of suicidality or mild cognitive impairment, which comprises:

- a) assessing the affinity of the compound at the 5-HT_{2C} receptor;
- b) assessing the affinity of the compound at at least two other major sites of said compound interaction;
- c) applying the assessed affinities to the following formula:

$$\frac{X}{A} + \frac{X}{B} = Y$$

[wherein: X is the affinity of a compound for interaction at the 5-HT_{2C} receptor and A and B are the average affinity values of a compound for interaction at two major sites other than the 5-HT_{2C} receptor];

and selecting compounds in which $Y \geq 1.80$ as suitable compounds for the treatment of suicidality or mild cognitive impairment, with the proviso that, for the treatment of schizophrenic suicidality, the compound selected is other than clozapine.

In another aspect, the present invention provides a method for the treatment of a patient suffering from symptoms associated with a condition selected from the group consisting of negative symptoms of schizophrenia, cognitive dysfunction, refractory schizophrenia, suicidality and mild cognitive impairment with a pharmaceutically effective amount of a 5-HT_{2C} antagonist, with the proviso that:

- (a) when the condition is selected from the group consisting of negative symptoms of schizophrenia, cognitive dysfunction and refractory schizophrenia, the 5-HT_{2C} receptor antagonist is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone; and
- (b) when the condition is schizophrenic suicidality, the 5-HT_{2C} receptor antagonist is other than clozapine.
- 10 In a yet further aspect, the present invention provides a method for the treatment of a patient suffering from symptoms associated with a condition selected from the group consisting of negative symptoms of schizophrenia, cognitive dysfunction, refractory schizophrenia, suicidality and mild cognitive
- 15 impairment with a pharmaceutically effective amount of a compound having a relative 5-HT_{2C} affinity of ≥ 1.80 , wherein the relative 5-HT_{2C} affinity is determined according to formula I:
- 20 Formula I:
- $$\begin{array}{ccc} X & & X \\ - & + & - \\ A & & B \end{array}$$
- 25 [wherein X is the average affinity of a compound for interaction at the 5-HT_{2C} receptor and A and B are the average affinity values of a compound for interaction at two major sites other than the 5-HT_{2C} receptor] with the proviso that:
- 30 (a) when the condition is selected from the group consisting of cognitive dysfunction, negative symptoms of schizophrenia or refractory schizophrenia, the compound is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222,
-

pipamperone, sertindole, olanzapine, zotepine or ziprasidone;
and

(b) when the condition is schizophrenic suicidality, the
compound is other than clozapine.

5

In the present invention, A and B are different and can,
independently, be any site which binds the compound, including
receptors, channels, enzymes or any other protein, such as any
of the receptors listed in Table 1.

10

In a preferred embodiment of these aspects of the invention, A
and B are different and are independently selected from the
group consisting of the 5-HT_{1A}, 5-HT_{2A}, 5-HT₃, 5-HT₆, 5-HT₇, D₁,
D_{2-S}, D_{2-L}, D₃, D₄, D₅ M₁, M₂, M₃, M₄, M₅, mACh, α_1 , α_2 , H₁ or
15 sigma receptors.

More preferably A and B are different and are independently
selected from the group consisting of the 5-HT₃, 5-HT₄, 5-HT₆,
5-HT₇, D₁, D₂, D₃, M₁, M₂, M₃, M₄, M₅, α_1 , α_2 or H₁ receptors.

20

In a most preferred embodiment of these aspects of the
invention, A is typically a measurement of the affinity of the
compound for the 5-HT_{2A} receptor.

25

In a further most preferred embodiment of these aspects of the
invention, B is typically a measurement of the affinity of the
compound for the D₂ receptor.

30

In a more preferred embodiment of these aspects of the
invention, A is a measurement of the affinity of the compound
for the 5-HT_{2A} receptor and B is a measurement of the affinity
of the compound for the D₂ receptor.

Affinity of a compound for any of the receptors, channels, enzymes or proteins such as those listed in Table 1 can be measured using techniques common in the art. Typically, affinity is measured as $\log K_i$ (pK_i) or $\log K_d$ (pK_d).

5

In the present invention, compounds having a value for Y in Formula I greater than or equal to 1.80 are deemed as being particularly suitable for use in the treatment of the specific patient groups of the invention. It is generally the case that the higher the value for Y, the more suitable is the compound for use in the treatment of the specific patient groups detailed herein. In a preferred embodiment of the present invention, Y is equal to or greater than 2.00, although it is most preferred that Y is equal to or greater than 1.90.

10

15

5-HT_{2C} receptor antagonists and, in particular such compounds having a relative 5-HT_{2C} affinity ≥ 1.80 are useful in the treatment of cognitive dysfunction in or negative symptoms of schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment.

20

Compounds such as ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone are already known for the treatment of schizophrenia and are hence excluded for the purposes of the present invention for use in the manufacture of a medicament for the treatment of cognitive dysfunction in or negative symptoms of schizophrenia or refractory schizophrenia.

25

30

Clozapine is also known for the treatment of suicidality in schizophrenic patients and is hence excluded therefrom for the purposes of this invention.

Cognitive dysfunction is typified by impairment in attention, verbal fluency, executive functions such as planning, working memory and visual and verbal learning and memory. In some patients with schizophrenia, cognitive functioning declines with impaired attention, abstract thinking and problem solving. Severity of cognitive impairment is a major determinant of overall disability in these patients.

In accordance with the present invention, it has been discovered that 5-HT_{2C} receptor antagonists are useful in the treatment of these symptoms and the present invention therefore provides the use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of cognitive dysfunction in a schizophrenic patient, with the proviso that the 5-HT_{2C} receptor antagonist is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone.

Negative (deficit) symptoms of schizophrenia include blunted affect, poverty of speech, anhedonia and asociality. With blunted affect (flattening of emotions), the patient's face may appear immobile, with poor eye contact and lack of expressiveness. Poverty of speech refers to a diminution of thought reflected in decreased speech and terse replies to questions, creating the impression of inner emptiness. Anhedonia (diminished capacity to experience pleasure) may be reflected by a lack of interest in activities with substantial time being spent in purposeless activity. Asociality refers to a lack of interest in relationships. Negative symptoms are often associated with a general loss of motivation and diminished sense of purpose and goals. Patients with a "deficit subtype" of schizophrenia have prominent negative symptoms unaccounted for by other factors. Such patients are

typically more disabled, have a poorer prognosis and are more resistant to treatment than those with a "nondeficit subtype" of schizophrenia.

- 5 In accordance with the present invention it has been discovered that 5-HT_{2C} receptor antagonists are useful in the treatment of negative symptoms of schizophrenia, and the present invention therefore provides the use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the
- 10 treatment of negative symptoms of schizophrenia, with the proviso that the 5-HT_{2C} receptor antagonist is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone.
- 15 Refractory schizophrenia is a term given to embrace those schizophrenic patients who do not respond to conventional antipsychotic drugs. This groups generally makes up approximately 30% of all schizophrenic patients. In accordance with the present invention, it has been discovered
- 20 that 5-HT_{2C} receptor antagonists are useful in the treatment of refractory schizophrenia and the present invention therefore provides the use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of
- 25 refractory schizophrenia, with the proviso that the 5-HT_{2C} receptor antagonist is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone.

Suicuality may or may not be associated with schizophrenia.

- 30 Suicidality is often associated with people with personality disorders, particularly emotionally immature people who have a borderline or an antisocial personality disorder, tolerate

frustration poorly and react to stress impetuously with violence and aggression.

According to the Merck Manual of Diagnosis and Therapy, 17th Edition (www.merck.com/pubs/mmanual), suicidal behaviour includes suicide gestures, attempted suicide and completed suicide. Suicide plans and actions that appear unlikely to succeed are often termed "suicide gestures"; they are predominantly communicative and are generally pleas for help. It is important to aim treatment at relieving misery and preventing repeated attempts, particularly as 20% of people who attempt suicide try again within 1 year and 10% finally succeed. "Attempted suicide" is a suicidal act that is not fatal, possibly because the self-destructive intention was slight, vague or ambiguous or the action taken had a low lethal potential. Most people who attempt suicide are ambivalent about their wish to die and the attempt may be a plea for help and may fail because of a strong wish to live. "Completed suicide" results in death.

Some patients with schizophrenia attempt suicide and may or may not be successful. In chronic schizophrenia, suicide may result from the episodes of depression to which these patients are prone. The suicide method is usually bizarre and often violent. Attempted suicide is uncommon, although it may be the first gross sign of psychiatric disturbance, occurring early in schizophrenia, possibly when the patient becomes aware of the disorganisation of his thought and volitional processes.

In accordance with the present invention, there is provided the use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of suicidality, with the

proviso that, when the suicidality is in a schizophrenic patient, the 5-HT_{2C} receptor antagonist is other than clozapine. Suicidality may be in a schizophrenic or non-schizophrenic patient and, in a preferred aspect, therefore, the present invention provides the above use of a 5-HT_{2C} receptor antagonist wherein the suicidality is in a schizophrenic patient, with the proviso that the 5-HT_{2C} receptor antagonist is other than clozapine. In an alternatively preferred aspect, the present invention provides the above use of a 5-HT_{2C} receptor antagonist wherein the suicidality is not in a schizophrenic patient.

Mild cognitive impairment is a term given to patients whose clinical state presents as memory impaired, but who are otherwise functioning well and do not meet the clinical criteria for dementia. Mild cognitive impairment represents a transitional state of cognitive impairment between normal aging and early Alzheimer disease. Diagnostic criteria typically include memory complaint (preferably corroborated), objective memory impairment, normal general cognitive function, intact activities of daily living but no dementia. Mild cognitive impairment may also be referred to as incipient dementia, questionable dementia, age-associated cognitive decline and isolated memory impairment and the present invention embraces all these, and other commonly used, synonyms for mild cognitive impairment. Numerous studies have been performed on mild cognitive impairment and the reviews of these studies have indicated that individuals with mild cognitive impairment are at an increased risk of developing Alzheimer disease and, in most cases, convert to dementia and/or Alzheimer disease within several years.

In accordance with the present invention, there is provided the use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of mild cognitive impairment.

- 5 Compounds appropriate for use in the indications above will typically be 5-HT_{2C} receptor antagonists. Receptor affinity may be known for individual compounds from the art, or may be determined either using the methods described herein or by alternative methods known from the art.

10

Any compound demonstrating 5-HT_{2C} receptor antagonist activity may be used in the present invention. Suitable compounds include those described in the following patent publications:

- 15 WO 97/16429, WO 97/44334, US 05010078, EP 161,218, EP 401,707, EP 526,434, DE 02834114, EP 210,893, US 03580916, US 05043341, EP 620,222, EP 208,235, EP 437,790, DE 02614406, US 04338317, EP 271,013, EP 110,435, EP 398,326, WO 92/05170, WO 95/01976, WO 96/23783, WO 98/04289, WO 97/48700, WO 00/48602, WO 00/26186, WO 99/58490, WO 99/52517, WO 99/51237, WO 99/46245, 20 WO 99/43319, WO 99/33841, WO 99/33840, WO 99/25356, WO 99/09017, WO 99/03833, WO 99/00119, WO 98/56367, WO 98/52943, WO 98/50358, WO 98/50346, WO 98/50343, WO 98/41527, WO 98/38165, WO 98/30561, WO 98/30546, WO 98/24785, WO 98/21958, WO 98/04261, WO 97/48699, WO 97/41858, WO 97/39001, WO 25 97/37989, WO 97/20845, WO 97/12880, WO 97/08167, WO 97/06155, WO 97/00872, WO 96/39382, WO 96/30366, WO 96/24351, WO 96/23769, WO 96/18629, WO 96/14320, WO 96/11930, WO 96/11929, WO 96/02537, WO 95/29177, WO 95/25731, WO 95/24194, WO 95/21844, WO 95/18117, WO 95/12591, WO 94/22871, WO 94/18958, 30 WO 94/18182, WO 94/18170, WO 94/14801, WO 94/04533, WO 94/02462, WO 93/18028, WO 93/18026, WO 93/16081, WO 93/16051, WO 93/14758, WO 93/12790, WO 92/15302, WO 92/10192, WO 91/18602, WO 01/68585, WO 01/68067, WO 01/52855, WO 01/38329,

- WO 01/26621, WO 01/25229, WO 01/19371, WO 00/76984, WO 00/68181, WO 00/63185, WO 00/62782, WO 00/61129, WO 00/61128, WO 00/37068, WO 00/06165, US 06143325, US 05854248, US 05739336, US 05693645, US 05674875, US 05498618, US 05371093, 5 US 05266571, US 05116852, US 05106855, US 05030656, US 05013735, US 04985352, US 04914107, US 04914100, US 04906639, US 04902691, US 04891376, US 04847261, JP 13220375, JP 12204040, JP 11171865, JP 11080155, JP 10316634, JP 10077271, JP 09040646, JP 08053416, JP 08040999, JP 07228573, JP 10 07179337, JO 00158067, GB 02303303, GB 02301774, EP 01118610, EP 1070716, EP 01052245, EP 01000944, EP 00905136, EP 00797995, EP 00797994, EP 00769297, EP 00749971, EP 00749967, EP 00718299, EP 00700905, EP 00686393, EP 00682015, EP 0661266, EP 00657426, EP 006554440, EP 00613898, EP 00596449, 15 EP 00559569, EP 00545120, EP 00522226, EP 00511074, EP 00511073, EP 00493687, EP 00484988, EP 00465398, EP 00452074, EP 00389352, EP 00388081, EP 00384228, EP 00379308, EP 00378468, EP 00375297, EP 00374042, EP 00373998, EP 00363963, EP 00354030, EP 00337136, EP 00332528, EP 00320983, EP 20 00218433 and EP 00145494.

The present invention thus includes the use of a compound as described in any of the above patent applications in the manufacture of a medicament for the treatment of cognitive 25 dysfunction in or negative symptoms of schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment.

In addition to the compounds described in the above 30 applications, the following compounds are suitable for use in the present invention: AHR-16303B (AH Robins Co. Inc), AP-792 and AT-1015 (Ajinomoto Co. Inc.), BMS-181102 (Bristol Myers Squibb), CV-5197 (Takeda Chemical Industries Ltd), dotarizine

(Ferrer Internacional SA), E-2101 (Eisai Co Ltd), eltoprazine (Solvay SA), emopamil (Knoll AG), HT-90B (Chugai Pharmaceutical Co Ltd), ICI-169369 and ICI-170809 (Zeneca Group plc), LU-26042 and LU-29066 (H Lundbeck A/S), NPC-18166 (Scios Inc), Org-38457 (NV Organon), pelanserin (Cinvestav), perbufylline (Siegfried Group), SB-206553 and SB-242084 (SmithKline Beecham), SR-46615A (Sanofi Recherche SA), SUN-9221 (Suntory Ltd) tropoxin (Russian Academy Medical Science) and YM-992 (Yamanouchi Pharmaceutical Co Ltd).

10

The present invention thus also provides the use of any of AHR-16303B (AH Robins Co. Inc), AP-792 and AT-1015 (Ajinomoto Co. Inc.), BMS-181102 (Bristol Myers Squibb), CV-5197 (Takeda Chemical Industries Ltd), dotarizine (Ferrer Internacional SA), E-2101 (Eisai Co Ltd), eltoprazine (Solvay SA), emopamil (Knoll AG), HT-90B (Chugai Pharmaceutical Co Ltd), ICI-169369 and ICI-170809 (Zeneca Group plc), LU-26042 and LU-29066 (H Lundbeck A/S), NPC-18166 (Scios Inc), Org-38457 (NV Organon), pelanserin (Cinvestav), perbufylline (Siegfried Group), SB-206553 and SB-242084 (SmithKline Beecham), SR-46615A (Sanofi Recherche SA), SUN-9221 (Suntory Ltd) tropoxin (Russian Academy Medical Science) and YM-992 (Yamanouchi Pharmaceutical Co Ltd) in the manufacture of a medicament for use in the treatment of cognitive dysfunction in or negative symptoms of schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment.

Particularly preferred 5-HT_{2C} receptor antagonists for the uses of the present invention include Ro-60-0759, RS-102221, SDZ-SER-082, Amersergide, ICI-169369, Sergolexole, Deramciclane, N-desmethyl-deramciclane, CGS-18102A and LU-26042. These compounds, together with methods for their preparation are described in WO 98/30546, US 5,739,336, EP

473,550, US 4,931,447, US 4,435,405, US 4,714,704, US 4,342,762, US 6,093,747, EP 161,218 and WO 93/14758 respectively.

- 5 Examples of candidate compounds for which the relative 5-HT_{2C} affinity has been calculated according to formula I, above, from known 5-HT_{2C}/5-HT_{2A}/D₂ affinities include those listed in Table 3, below, in which known anti-psychotic drugs are shown in bold typeface.

10

Table 3:

Compound	5-HT _{2C} Affinity	5-HT _{2A} Affinity	D ₂ Affinity	Formula I Affinity Ratio	Patent Number	CAS Number
Ro-60-0759	8.5	6.1	6	2.81	WO-09830546	
RS-102221	8.2	5.8	6	2.78	US-05739336	185376-97-0
SDZ-SER-082	7.8	6	6	2.60	EP-0473550	141474-54-6
Amesergide	8.2	7.8	6	2.42	US-04931447	
ICI-169369	8	7.7	6.5	2.27	US-04435405	85273-96-7
Sergolexole	7.2	7.2	6	2.20	US-04714704	
N-Desmethyl- deramciclane	7.2	7	7	2.20	US06093747	
Ritanserlin	9	9.6	7.4	2.15		
Clozapine	8	8.2	7	2.12		
Deramciclane	7.8	8	7	2.09	US-04342762	120444-71-5
CGS-18102A	7.2	6.6	7.4	2.06	EP-00161218	
LU-26042	7.8	8.8	6.9	2.02	WO-09312790 / WO-09314758	
Sertindole	8.8	10		1.99		
Olanzapine	7.8	8.8		1.93		
Ziprasidone	7.9	8.9		1.83		

* Affinities expressed as pK_i or pK_d values

- 15 As is evident from this table, the relative 5-HT_{2C} affinity is above 1.80 for each of the compounds listed and each of these

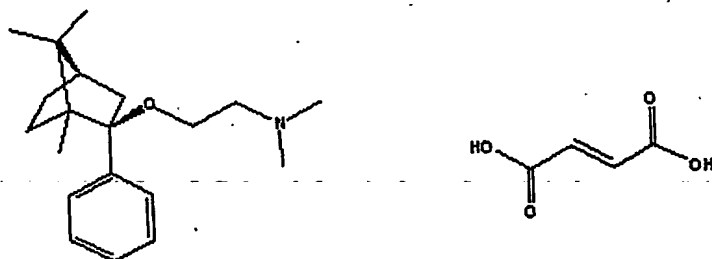
compounds (excluding ritanserin, clozapine, sertindole, olanzapine and ziprasidone) is therefore suitable for the use of the present invention. In addition, ritanserin, sertindole, olanzapine and ziprasidone are suitable for use in the treatment of suicidality or mild cognitive impairment and clozapine is suitable for use in the treatment of mild cognitive impairment and suicidality in a non-schizophrenic patient.

In a further aspect, therefore, the present invention provides one of Ro-60-0759, RS-102221, SDZ-SER-082, Amesergide, ICI-169369, Sergolexole, Deramciclane, N-desmethyl-deramciclane, CGS-18102A and LU-26042 for use in the manufacture of a medicament for the treatment of cognitive dysfunction in or negative symptoms of schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment.

Most preferred in this aspect of the invention is one of deramciclane, N-desmethyl-deramciclane, Amesergide, Sergolexole, CGS-18102A or LU-26042 for use in the manufacture of a medicament for the treatment of cognitive dysfunction in or negative symptoms of schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment.

1. Deramciclane

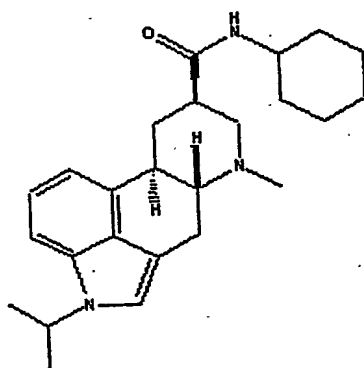
Deramciclane is (1R,2S,4R)-(-)-2-[2-(N,N-dimethylamino)-ethoxy]-2-phenyl-1,7,7-trimethylbicyclo[2.2.1]heptane and is described in US 4,342,762.



Deramciclone has the structural formula shown above. In man, deramciclone undergoes biotransformation into *N*-desmethyl-
 5 deramciclone, which is an active metabolite with even more pronounced 5-HT_{2C}-receptor antagonism (US 6,093,747).

2. Amesergide

10 Amesergide is *N*-cyclohexyl-1-isopropyl-6-methyl-ergoline-8-carboxamide and has the following structural formula:

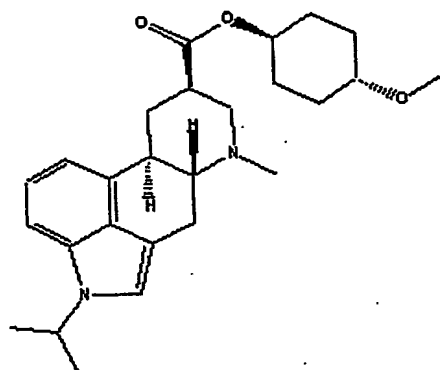


15 The compound is described in US 4,931,447 and is known to block 5HT_{2A/2C} mediated elevations of prolactin levels induced by D-fenfluramine. The metabolism of amesergide has been evaluated in rhesus monkeys, with maximum values of parent
 compounds or metabolites (4-hydroxy and desisopropyl species)

usually occurring on day 35, with minimum values occurring on day 365, suggesting that elimination or transformation of amesergide was enhanced as the study progressed.

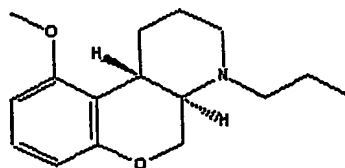
5 3. Sergolexole

Sergolexole (chemical name: [trans(8 β)]6-methyl-1-[1-methylethyl]ergoline-8-carboxylic acid, 4-methoxycyclohexyl ester (maleate salt)) and its preparation, is described in US 4,714,704. The compound, having the structural formula shown below, is known to be useful in the treatment of migraine.



4. CGS-18102A

15 This compound (10-methoxy-4-propyl-1,2,3,4a,5,10b-hexahydro-4H-[1]-benzopyrano[3,4-b]pyridine hydrochloride) is described in EP 161,218 and has the following structural formula:

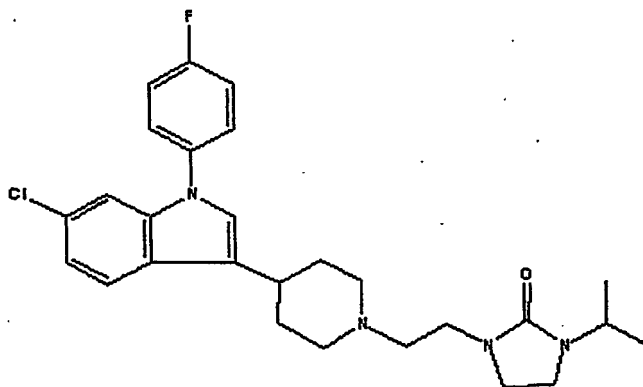


ClH

This compound is a potent 5HT_{2A/2C} receptor antagonist that has been proposed for use as an anxiolytic or antidepressant drug.

5 5. LU-26042

This compound 1-{2-[4[(2,5-dimethyl-3-(4-fluorophenyl)-1H-indol-1-yl)-1-piperidinyl]ethyl)-2-imidazolidinone, is a known 5-HT_{2A/2C} receptor antagonist, described in WO 93/12970 and WO 10 93/14758. The compound has the following structural formula:



In addition to the above, the present invention also provides a product containing a 5-HT_{2C} receptor antagonist and a typical antipsychotic as a combined preparation for simultaneous, separate or sequential use in schizophrenia therapy. In a preparation suitable for this use, the 5-HT_{2C} receptor antagonist is as described herein. The typical antipsychotic would generally be a compound suitable for the general treatment of schizophrenia, and preferably suitable for those sub-classes of schizophrenia not treatable by a 5-HT_{2C} receptor antagonist, for example the positive symptoms. An example of such a compound includes haloperidol, chlorpromazine, fluphenazine, fluspirilene, loxapine, pimo-
25 pmozide, sulpiride, thioridazine and thiothixene. The

combination of the two compounds would therefore result in the treatment of all or substantially all schizophrenic symptoms.

Analysis of "atypical" antipsychotics

5

With the recent introduction of several "atypical" antipsychotic drugs to the market, a large amount of information on clinical efficacy and side effects of these drugs has become available. Although few of these recently introduced drugs have been compared in clinical trials side by side, recent published reports provide much greater insight into possible relationships between clinical effects and pharmacological properties of the drugs. Thus, the clinical characteristics of the drugs clozapine, olanzapine, risperidone, seroquel (quetiapine), sertindole and ziprasidone were compared and rated based on recent literature reports (Meltzer 2000, Javitt 2001, Azorin et al. 2001, Sauriol et al. 2001, Conley and Mahmoud 2001, Chakos et al. 2001, Cuesta et al. 2001, Wetterling 2001, Taylor and McAskill 2000, Purdon et al. 2000, Leucht et al. 1999, Pezawas et al. 2000, Lewis et al. 2000, Meltzer and McGurk 1999, Arvanitis and Miller, 1997 and Tran et al. 1997).

The different clinical read-outs have been grouped: 1) extra-pyramidal side effects (includes causation of tardive dyskinesia) 2) improvement of negative symptoms (includes effects on flatness, social withdrawal and anhedonia) 3) improvement of cognitive dysfunction 4) increases in serum prolactin levels 5) increase in weight gain. These effects, in addition to suppressing positive symptoms of the disease, represent features desirable for candidate compounds.

Tables 4 and 4A show an overview of clinical characteristics (A) and certain receptor affinity ratios (B) of currently marketed and clinically well evaluated "atypical" antipsychotic drugs*

5

Table 4A:

"Atypical" Anti- psychotic	Extra- pyramidal side effects	Improve- ment negative symptoms	Improve- ment cognitive deficits**	Increases prolactin levels	Weight Gain
Clozapine	3	1	1-2	3	1
Risperidone	1	3	2-3	1	2-3
Olanzapine	1-2	2	1-2	2	2
Sertindole	3	2		3	2-3
Seroquel	2	3	2-3	3	2
Ziprasidone	2	2		3	3

* grading: 1-high, 2-medium and 3-low probability.

References used: (Meltzer 2000, Javitt 2001, Azorin et al.

10 2001, Sauriol et al. 2001, Conley and Mahmoud 2001, Chakos et al. 2001, Cuesta et al. 2001, Wetterling 2001, Taylor and McAskill 2000, Leucht et al. 1999, Pezawas et al. 2000, Lewis et al. 2000, Arvanitis and Miller, 1997 and Tran et al. 1997)

**for ratings of specific cognitive measures see Table 3.

15

Table 4B:

"Atypical" Anti- psychotic	5-HT2A/ D2 ratio	5-HT2C/ 5-HT2A ratio (2C/2A)	5-HT2C/ D2 ratio (2C/D2)	(2C/2A) + (2C/D2)	H1/ D2 ratio
Clozapine	1.22	0.98	1.14	2.12	1.23
Risperidone	1.09	0.79	0.90	1.69	0.9
Olanzapine	1.13	0.88	1.04	1.92	1.05
Sertindole	1.25	0.89	1.02	1.91	0.71
Seroquel	1.15	0.81	0.92	1.73	1.08
Ziprasidone	1.06	0.89	0.94	1.83	0.87

The clinical characteristics of antipsychotic drugs can be correlated with their pharmacological properties.

5

1. Extra-pyramidal side effects (EPS)

Although the induction of EPS has been an important part of "atypicality" as defined by Meltzer et al. (1989), the current analysis is the first to demonstrate that there is a correlation between the level of induction of EPS and the 5-HT2A/D2 receptor affinity ratio (Figure 1, Panel A). As many 5-HT2A receptor antagonists also interact with 5-HT2C receptors, it was investigated whether an association existed between EPS and relative 5-HT2C receptor affinity (expressed as the sum of the 5-HT2C/5-HT2A and 5-HT2C/D2 affinity ratios in formula I above). Figure 1 (Panel C) demonstrates the absence of a robust relationship. Further possible correlations between receptor affinity ratios and the level of EPS induction were assessed and as shown in Figure 1 (Panels E, G, I, K, M, O, Q). Although the correlation between EPS liability and 5-HT2A/D2 ratio is not perfect (ziprasidone appears to be an outlier) it is possible that over time, when

head to head comparative trials have been done and drugs have been prescribed more widely, the correlation will improve.

In summary it is suggested that blockade of the 5-HT_{2A} receptor is an important factor in the suppression (or prevention) of D₂ receptor-induced EPS.

2. Improvement of negative symptoms

Thus far, there is no (new) antipsychotic which appears to have comparable efficacy to clozapine in treating negative symptoms. Similarly, clozapine is the most effective drug to treat neuroleptic-refractory patients. The present analysis demonstrates that of all pharmacological properties possessed by the novel antipsychotic agents, the relative 5-HT_{2C} receptor affinity (expressed as the sum of 5-HT_{2C}/5-HT_{2A} and 5-HT_{2C}/D₂ affinity ratios in the formula above) was most discernibly correlated with improvement of negative symptoms (see Figure 1, Panel B). Similarly, the 5-HT_{2C}/5-HT_{2A} and 5-HT_{2C}/D₂ affinity ratios separately demonstrated clear correlations with efficacy to treat negative symptoms (Figure 1, Panels F and H).

Thus, although it is not suggested that the absolute 5-HT_{2C} receptor affinity (see Table 1) correlates with this clinical effect (as previously investigated by Roth et al. 1992), it is suggested that the relative 5-HT_{2C} receptor affinity (when compared to both 5-HT_{2A} and D₂ receptor affinities) shows a clear relationship with therapeutic efficacy in the treatment of negative symptoms. Comparisons of other pharmacological properties of the antipsychotic drugs did not reveal the same degree of correlation (Figure 1, Panels D, J, L, N, P and R).

For example, a correlation between 5-HT_{2A}/D₂ affinity ratio

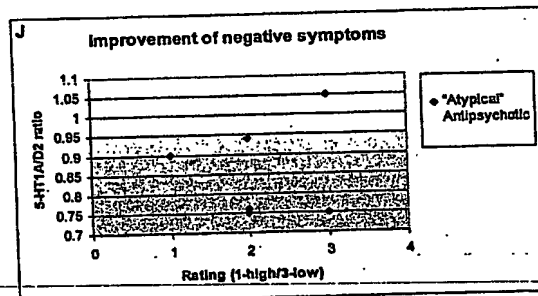
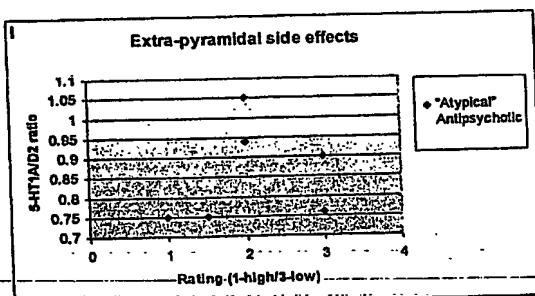
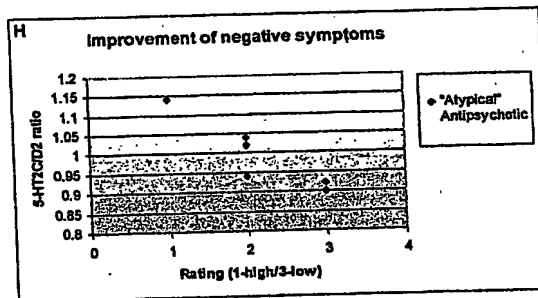
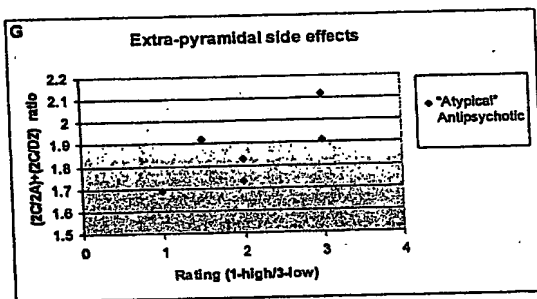
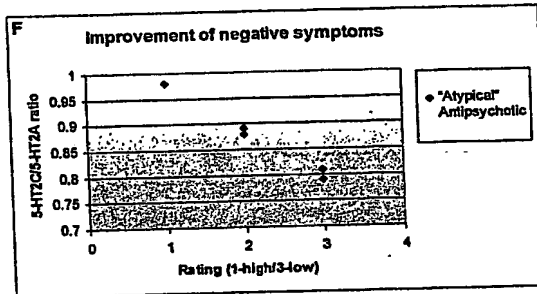
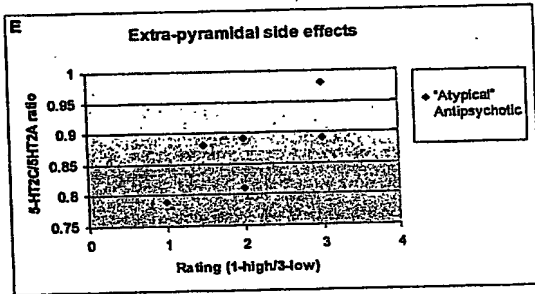
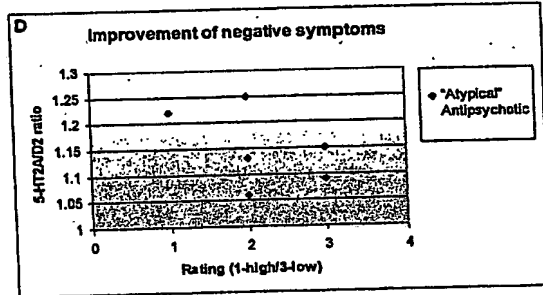
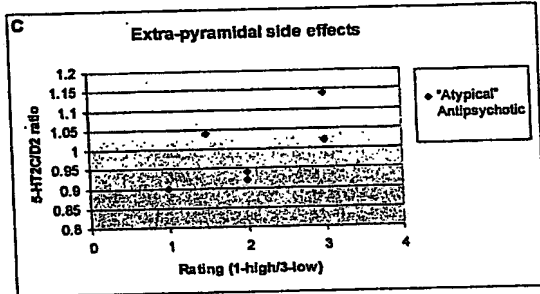
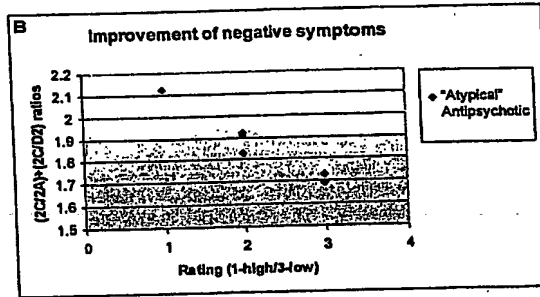
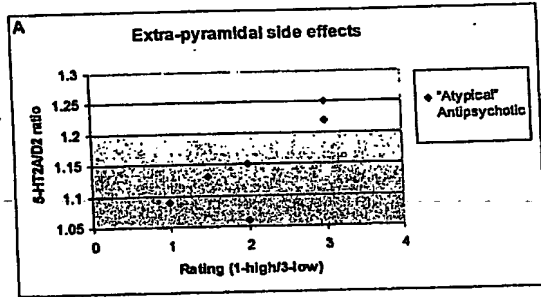
and improvement of negative symptoms was absent (Figure 1, Panel D).

In summary, it is suggested that blockade of the 5-HT_{2C} receptor is important in treating cognitive dysfunction in or the negative symptoms of schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment.

10

Figure 1. Correlation Plots of Clinical Read-Outs for Atypical Antipsychotic Drugs and Neurotransmitter Receptor Affinity Ratios (see Table 4a and b for data of rating and compounds included. Certain data as plotted in this figure are not listed in Table 5a/b).

15



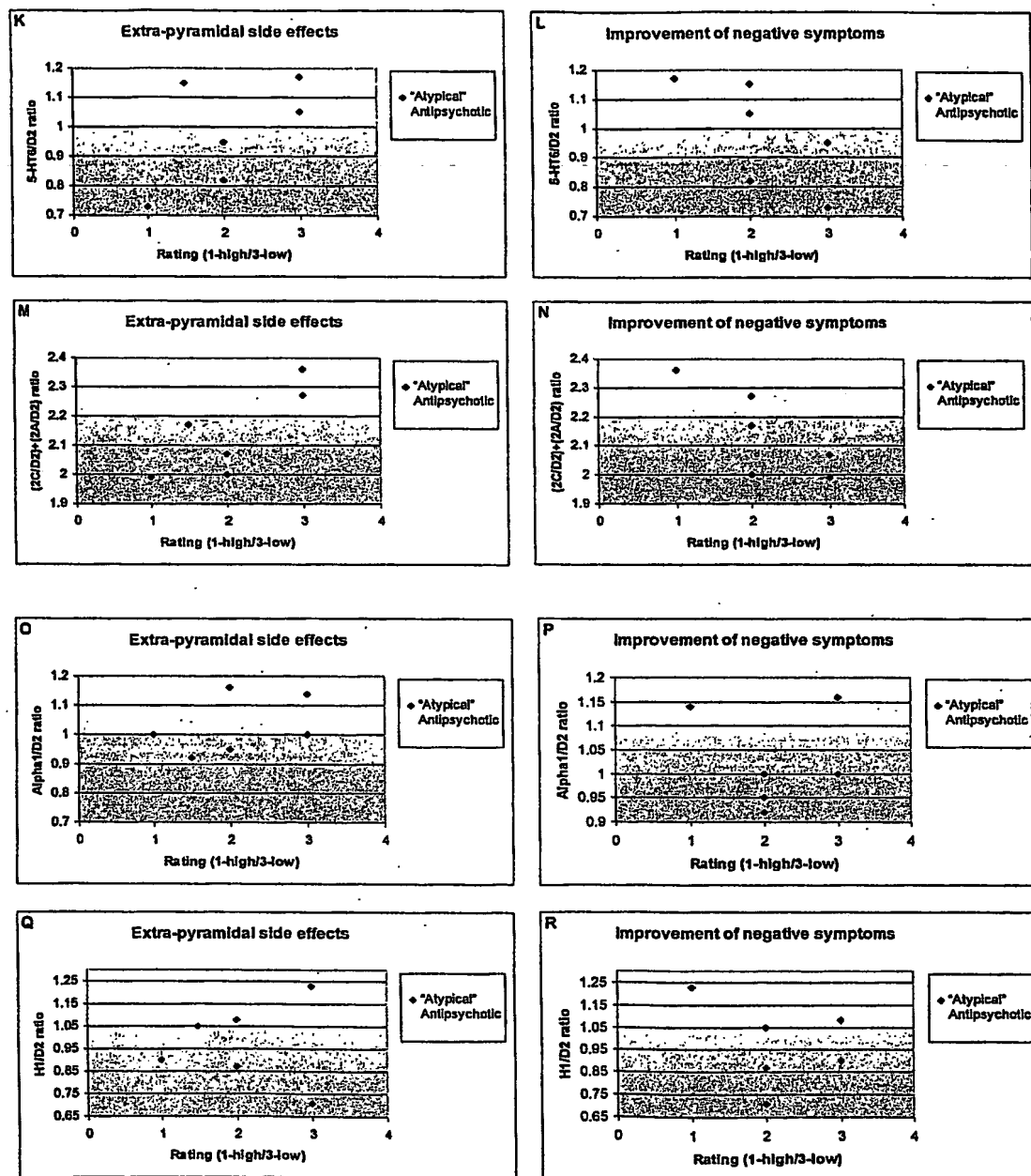


Figure 1. Continued

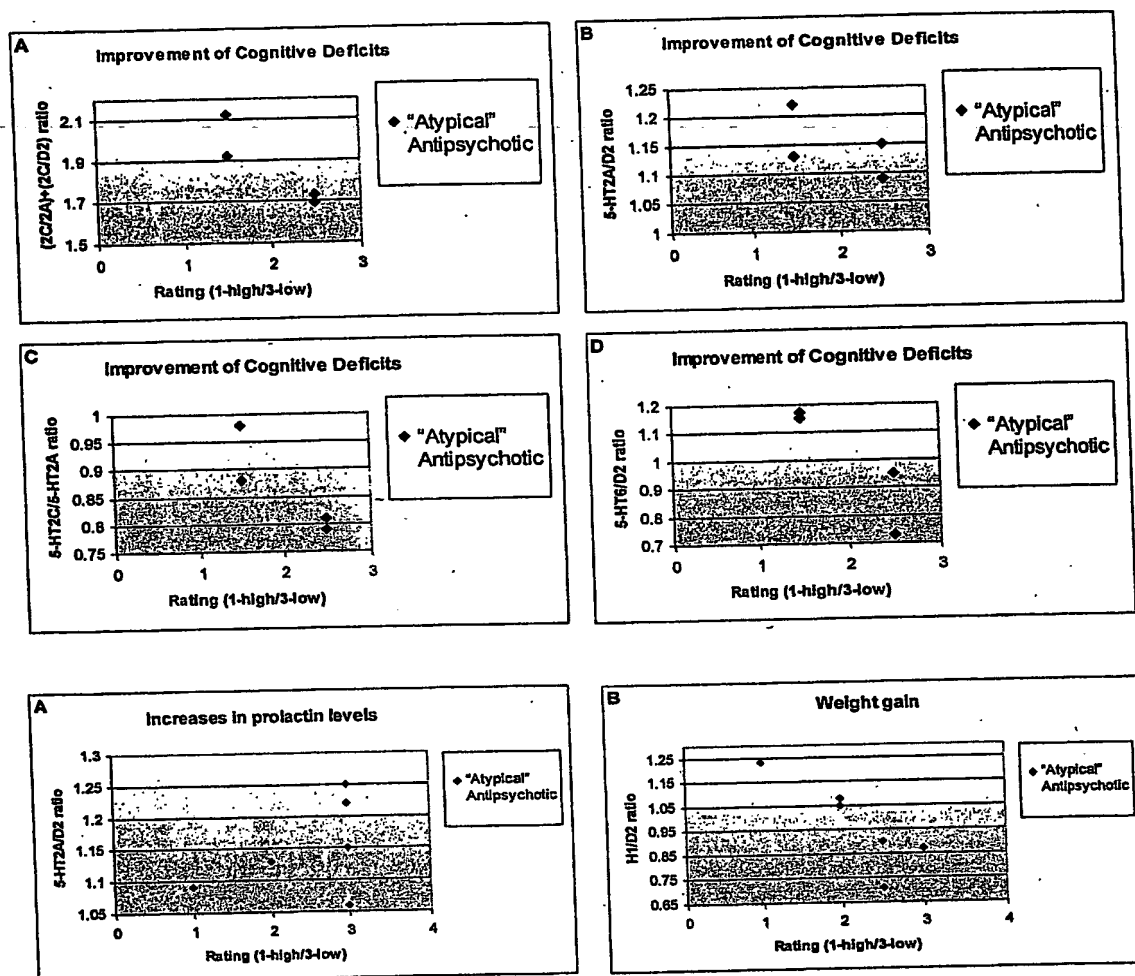


Figure 2. Correlation Plots of Clinical Read-Outs for Atypical Antipsychotic Drugs and Neurotransmitter Receptor Affinity Ratios (See Table 4a and b for data)

3. Improvement of cognitive deficits

There is limited information on the effects of novel antipsychotic drugs on cognitive deficits of schizophrenic patients. It is evident, however, that conventional neuroleptic drugs do not improve cognitive dysfunction in schizophrenia. Reportedly, clozapine has significant effects on several cognitive functions as determined by a range of

neuropsychological tests (Hagger et al. 1993, Green et al. 1997). Similarly, preliminary studies have demonstrated improvement to cognitive function by olanzapine and to a lesser extent by risperidone and quetiapine (Meltzer 2000b-
 5 chapter int, Meltzer and McGurk 1999, Cuesta et al. 2001, Purdon et al. 2000, Velligan et al. 2002, Purdon et al. 2001, Meltzer 2000). Table 5 represents the different levels of effect of the drugs as reported in these literature sources.

10 **Table 5. Effects of "Atypical" Antipsychotic Drugs on Various Cognitive Functions**

Cognitive Effect	Clozapine	Olanzapine	Risperidone	Quetiapine
Attention	++	-	+	+
Executive Function	+	+	+	+
Verbal Learning	+/-	++	-	-
Verbal Memory	+	++	-	+
Working Memory	+/-	-	++	-
Verbal Fluency	++	++	-	+

Although the trials have been sparse, it appears that
 15 clozapine and olanzapine may be more effective in improving cognitive deficits in schizophrenia than risperidone or quetiapine. As clinical data on only four novel antipsychotics was available, correlations between receptor affinity and clinical effect are weak (see Figure 2).
 20 However, when compared to other correlations, it seems that the association with relative 5-HT_{2C} receptor affinity is most relevant (Panel A). Although, the relationship with 5-HT_{2A} or 5-HT₆ cannot be excluded (Panels B and D), the 5-HT_{2C} receptor affinity appears to be a contributing factor when compared to
 25 the 5-HT_{2A} affinity of the drugs (Panel C).

4. Increases in serum prolactin levels

As has been reported previously, there does not seem to be a clear correlation between pharmacological characteristics and the level of serum prolactin increase of antipsychotic agents.

5 As pictured in Figure 2 (Panel A) a rather unclear trend may be observed of relative affinity to the D2 receptor. We do not wish to speculate however on the possible pharmacological properties involved in the response.

10 5. Weight gain

Increase in weight gain, varying from 0.8-3.5 kg per month, has been reported for a number of novel antipsychotic agents. Haloperidol in contrast does not have such an effect.

15 Clozapine, olanzapine and seroquel reportedly do cause weight gain (Taylor and McAskill, 2000, Wetterling 2001). As demonstrated in Figure 2 (Panel B) a relationship between H1/D2 receptor affinity and increasing liability for weight gain exists. This is not a novel finding and has been previously reported (Wirshing et al. 1999).

20

To conclude, rather than evaluating the "atypicality" of antipsychotic drugs the present results demonstrate that different pharmacological properties of antipsychotic agents may be responsible for different features of atypicality of
25 these drugs. There is likely to be a significant grey area whereby antipsychotics possess certain atypical characteristics but not all.

30 Importantly, new correlations between the 5-HT_{2A}/D₂ receptor affinity ratio and causation of EPS but more importantly between relative 5-HT_{2C} receptor affinity and the improvement of negative symptoms, cognitive deficits, refractory schizophrenia, suicidality or mild cognitive impairment have

been revealed. This finding will be of great value in the development of drugs that are effective in the treatment of patients suffering from these symptoms.

5 5-HT_{2C} receptor antagonism and suicide

As mentioned above Niswender and colleagues (2001) have reported increased levels of 5-HT_{2C} messenger RNA editing. It remains unclear, however, what role the 5-HT_{2C} receptor would play and whether agonists or antagonists to the 5-HT_{2C}

10 receptor could have beneficial effects on suicidal behaviour. Further analysis of the data published by Niswender et al. (2001) demonstrates that there may be differences between male and female patients, their drug treatments, levels of 5-HT_{2C} receptor mRNA editing and possibly suicide rate (see Table 6).

Table 6. Levels of 5-HT_{2C} receptor mRNA editing (A form) in depressive, schizophrenic and control subjects (with and without suicide)

Females	Control	Major Depression*	Schizophrenia*	5
	82.8	83.4	77.6	
	83.2	86.6	78.6	
	84.2	87.8	79	
		90.3	80.7	
			87.8	
			89	
Average	83.4	87.0	82.1	15
SEM	0.51	1.65	2.23	

Males	Control	Major Depression*	Schizophrenia*	
	77.3	77.8	73.6	
	79.9	80.8	82.7	
	82.2	80.8	84.4	
	82.9	84.3	84.8	
	83.1	84.8	85.9	25
	84.5	84.8	86.2	
	84.7	87.3	86.6	
	85.1	87.5		
	85.5	88.6		
	90.5			
Average	83.6	84.1	83.5	
SEM	1.17	1.28	1.85	35

* in bold: subject committed suicide / in italics subject's latest drug treatment blocked 5-HT_{2C} receptors

A strong trend for women with major depression to have increased levels of the Edit-A form of 5-HT_{2C} receptor mRNA was observed ($p=0.04$, Student's t -test, $p=0.09$, ANOVA). Also, the data were re-analysed considering the type of drug treatment the donor received (the latest before death). The types of drug treatment were classified as 1) drugs known to antagonise the 5-HT_{2C} receptor (such as clozapine, loxapine or olanzapine) and 2) other drugs (e.g. haloperidol) or no drug treatment at all. Then, a trend for a correlation between level of Edit-A 5-HT_{2C} receptor mRNA and 5-HT_{2C} receptor blocking drug treatments was revealed (see Table 7). Further, although the sample set was small, a possible relationship

between treatment with 5-HT_{2C} receptor blocking drugs and a lower suicide rate was observed.

Table 7. Comparison of Edit-A 5-HT_{2C} receptor mRNA levels in schizophrenic patients with and without drug treatment* blocking 5-HT_{2C} receptors

Schizophrenia		
	Drug treatment with 5-HT _{2C} antagonism	Drug treatment without 5-HT _{2C} antagonism
	78.6	77.6
	80.7	79
	73.6	87.8
	82.7	89
	84.4	85.9
	84.8	86.2
		86.6
Average	80.8	84.6
SEM	1.89	1.81
p (t-test)=	0.07	
p (ANOVA)=	0.14	

* The subject's latest drug treatment included blockade of 5-HT_{2C} receptors

Thus, of seven schizophrenic patients not treated with drugs blocking the 5-HT_{2C} receptor, four committed suicide, whereas of the six patients previously treated with 5-HT_{2C} receptor blocking antipsychotic drugs, only two committed suicide.

Larger studies will be needed to confirm the present observations. Nevertheless, it may be possible that the 5-HT_{2C} blocking effects of antipsychotic drugs reduce suicide completion rates in schizophrenic, and perhaps other, psychiatric patients.

Thus, to conclude, the present study is the first to reveal a distinct role for 5-HT_{2C} receptor antagonists in the treatment

of the negative symptoms or cognitive deficits of schizophrenia or refractory schizophrenia.

Further, 5-HT_{2C} receptor antagonists may be of benefit in the treatment of suicidality or mild cognitive impairment.

While it is possible for the 5-HT_{2C} receptor antagonist to be administered alone, it is preferable to present the compound as a pharmaceutical composition (e.g. formulation) comprising at least one active compound together with one or more pharmaceutically acceptable carriers, adjuvants, excipients, diluents, fillers, buffers, stabilisers, preservatives, lubricants, or other materials well known to those skilled in the art and optionally other therapeutic or prophylactic agents.

The term "pharmaceutically acceptable" as used herein pertains to compounds, materials, compositions, and/or dosage forms which are, within the scope of sound medical judgement, suitable for use in contact with the tissues of a subject (e.g. human) without excessive toxicity, irritation, allergic response, or other problem or complication, commensurate with a reasonable benefit/risk ratio. Each carrier, excipient, etc. must also be "acceptable" in the sense of being compatible with the other ingredients of the formulation.

Suitable carriers, excipients, etc. can be found in standard pharmaceutical texts, for example, Remington's Pharmaceutical Sciences, 18th edition, Mack Publishing Company, Easton, Pa., 1990.

The formulations may conveniently be presented in unit dosage form and may be prepared by any methods well known in the art

of pharmacy. Such methods include the step of bringing into association the active compound with the carrier which constitutes one or more accessory ingredients. In general, the formulations are prepared by uniformly and intimately
5 bringing into association the active compound with liquid carriers or finely divided solid carriers or both, and then if necessary shaping the product.

Formulations may be in the form of liquids, solutions,
10 suspensions, emulsions, elixirs, syrups, tablets, lozenges, granules, powders, capsules, cachets, pills, ampoules, ointments, gels, pastes, creams, sprays, mists, foams, lotions, oils, suppositories, boluses or sustained release formulations.

15 Formulations suitable for oral administration (e.g. by ingestion) may be presented as discrete units such as capsules, cachets or tablets, each containing a predetermined amount of the active compound; as a powder or granules; as a
20 solution or suspension in an aqueous or non-aqueous liquid; or as an oil-in-water liquid emulsion or a water-in-oil liquid emulsion; as a bolus; as an electuary; or as a paste.

A tablet may be made by conventional means, e.g., compression
25 or moulding, optionally with one or more accessory ingredients. Compressed tablets may be prepared by compressing in a suitable machine the active compound in a free-flowing form such as a powder or granules, optionally mixed with one or more binders (e.g. povidone, gelatin,
30 acacia, sorbitol, tragacanth, hydroxypropylmethyl cellulose); fillers or diluents (e.g. lactose, microcrystalline cellulose, calcium hydrogen phosphate); lubricants (e.g. magnesium stearate, talc, silica); disintegrants (e.g. sodium starch

glycolate, cross-linked povidone, cross-linked sodium carboxymethyl cellulose); surface-active or dispersing or wetting agents (e.g. sodium lauryl sulfate); and preservatives (e.g. methyl p-hydroxybenzoate, propyl p-hydroxybenzoate, sorbic acid). Moulded tablets may be made by moulding in a suitable machine a mixture of the powdered compound moistened with an inert liquid diluent. The tablets may optionally be coated or scored and may be formulated so as to provide slow or controlled release of the active compound therein using, for example, hydroxypropylmethyl cellulose in varying proportions to provide the desired release profile. Tablets may optionally be provided with an enteric coating, to provide release in parts of the gut other than the stomach.

Parenteral administration is generally characterized by injection, either subcutaneously, intramuscularly or intravenously. Injectables can be prepared in conventional forms, either as liquid solutions or suspensions, solid forms suitable for solution or suspension in liquid prior to injection, or as emulsions. Suitable excipients are, for example, water, saline, dextrose, glycerol, ethanol or the like. In addition, if desired, the pharmaceutical compositions to be administered may also contain minor amounts of non-toxic auxiliary substances such as wetting or emulsifying agents, pH buffering agents and the like, such as for example, sodium acetate, sorbitan monolaurate, triethanolamine oleate, triethanolamine sodium acetate, etc.

A more recently devised approach for parenteral administration employs the implantation of a slow-release or sustained-release system, such that a constant level of dosage is maintained. See, e.g., US Patent No. 3,710,795.

The percentage of active compound contained in such parental compositions is highly dependent on the specific nature thereof, as well as the activity of the compound and the needs of the subject. However, percentages of active ingredient of 0.1% to 10% in solution are employable, and will be higher if the composition is a solid which will be subsequently diluted to the above percentages. Preferably, the composition will comprise 0.2-2% of the active agent in solution.

- 5 10 Depot formulations, such as those comprising a microsphere-based delivery system wherein the active compound is incorporated into a matrix of poly-(DL-lactide-co-glycolide) (PLG), may otherwise be used. In such instances, release profiles can be adjusted by manipulation of formulation parameters and through control of the fabrication process.

15 20 Formulations suitable for topical administration may comprise a patch or a dressing such as a bandage or adhesive plaster impregnated with active compounds and optionally one or more excipients or diluents.

It will be appreciated that appropriate dosages of the active compounds, and compositions comprising the active compounds, can vary from patient to patient. Determining the optimal dosage will generally involve the balancing of the level of therapeutic benefit against any risk or deleterious side effects of the treatments of the present invention. The selected dosage level will depend on a variety of factors including, but not limited to, the activity of the particular compound, the route of administration, the time of administration, the rate of excretion of the compound, the duration of the treatment, other drugs, compounds, and/or materials used in combination, and the age, sex, weight,

25 30

condition, general health, and prior medical history of the patient. The amount of compound and route of administration will ultimately be at the discretion of the physician, although generally the dosage will be to achieve local concentrations at the site of action which achieve the desired effect without causing substantial harmful or deleterious side-effects.

Administration *in vivo* can be effected in one dose, continuously or intermittently (e.g. in divided doses at appropriate intervals) throughout the course of treatment. Methods of determining the most effective means and dosage of administration are well known to those of skill in the art and will vary with the formulation used for therapy, the purpose of the therapy, the target cell being treated, and the subject being treated. Single or multiple administrations can be carried out with the dose level and pattern being selected by the treating physician.

In general, a suitable dose of a 5-HT_{2C} receptor antagonist will be similar to that described during the original preparation and use of the compound. This may be in the form of a single bolus dose or more preferably in multiple applications or a sustained release preparation. Factors such as age, weight, sex and presence or absence of other diseases, may have a bearing on the suitable daily dose.

When the 5-HT_{2C} receptor antagonist is deramciclone or N-desmethyl-deramciclone, an oral daily dose of between 10mg and 60mg will be appropriate, preferably of about 30mg.

When the 5-HT_{2C} receptor antagonist is Amesergide, an oral daily dose of between 50mg and 150mg will be appropriate, preferably of about 100mg.

- 5 When the 5-HT_{2C} receptor antagonist is Sergolexole, an oral daily dose of between 10mg and 150mg will be appropriate, preferably of about 50mg.

- 10 The precise format for performing methods of the invention may be varied by those of skill in the art using routine skill and knowledge.

- 15 Of course, the person skilled in the art will design any appropriate control experiments with which to compare results obtained in methods of the invention.

All documents mentioned in this specification are hereby incorporated by reference.

20 **Examples**

- 25 This exemplification details the determination of affinity values (affinity values expressed as K_i or K_d or antagonist activity as IC_{50} , K_b or A_2 - or the $-\log$ of any of these values) of compounds at either recombinant receptors expressed stably in a cell line (example 1) or transiently (example 2). Furthermore references are given for the determination of such values in tissue homogenates from rat, mouse, human and porcine brain using a variety of methods.

Example 1: Method taken from Berg et al. 1999

Cell Culture. Chinese hamster ovary K1 (CHO-K1) cell lines that stably express human 5-HT_{2C} receptors at '250 fmol/mg ("low" expressing, CHO-1C19) and 5 to 10 pmol/mg ("high" expressing, CHO-1C7) were used in this study. Cells were maintained in a minimal essential medium supplemented with 5% fetal bovine serum and 300 mg/ml hygromycin. For all experiments, cells were seeded into 12- or 24-well tissue culture vessels at a density of 4×10^4 cells/cm². After a 24-h plating period, cells were washed with Hanks' balanced salt solution (HBSS) and placed into Dulbecco's modified Eagle's medium/F-12 [1:1] with 5 mg/ml insulin, 5 mg/ml transferrin, 30 nM selenium, 20 nM progesterone, and 100 mM putrescine (serum free media) and grown for an additional 24 h before experimentation. The absence of receptor reserve for 5-HT on both effector pathways (PLC and PLA₂) coupled to the human 5-HT_{2C} receptor in CHO-1C19 cells (Berg et al., 1998) has been previously demonstrated.

IP Accumulation and AA Release Measurements.

IP accumulation and AA release were measured as described previously (Berg et al., 1994a, 1996, 1998). Unless stated otherwise, measurements of PLC-mediated IP accumulation were made from the same multiwell (simultaneously) as PLA₂-AA release measurements (Berg et al., 1998). Briefly, cells in serum-free medium were labeled with 1 mCi/ml [3H]-myoinositol (25 Ci/mmol) for 24 h and with 0.1 mCi/ml [3H]AA (220 Ci/mmol) for 4 h at 37°C. After the labeling period, cells were washed three times with HBSS containing calcium and magnesium, 20 mM HEPES, and 0.1% fatty acid-free bovine serumalbumin (BSA; experimental medium). Between washes, the cells were incubated for 5 min in a 37°C water bath (15-min total wash

and preincubation time). After the wash procedure, cells were incubated in 0.5 ml of experimental medium containing vehicle (H₂O or 0.01% DMSO) or the indicated drug concentrations. For measurement of basal effector activity, cells were incubated at 37°C for 25 min. For measurement of agonist-mediated stimulation of effector activity, cells were incubated at 37°C for 10 min. After incubation, aliquots (100 µl) of cell media were added directly to scintillation vials for measurement of [3H] content (Berg et al., 1996, 1998). The remaining media were aspirated quickly and 1 ml 10 mM formic acid (4°C) was added to extract the accumulated [3H]-IPs (IP1, IP2, and IP3, collectively referred to as IP; Berg et al., 1994a). For some experiments, data were normalized to protein content, which was measured according to the method of Lowry et al. (1951).

Receptor Binding Studies.

5-HT_{2C} receptor saturation binding experiments were done as described previously (Berg et al., 1994a). Briefly, cells were washed twice with HBSS, scraped, and centrifuged at 500g for 5 min. Cell pellets were flash frozen in liquid nitrogen and stored at -135°C until use. All membrane preparation procedures were done at 4°C. Cell pellets were thawed, resuspended in 20 volumes of homogenization buffer (50 mM HEPES, 2.5 mM MgCl₂, 2.0 mM EGTA pH 7.4 at 22°C), homogenized twice with a polytron (setting no. 7) for 15s (separated by 15s), and centrifuged (39,000g; 4°C; 10 min). The resulting membrane pellet was washed three times with homogenization buffer and resuspended in assay buffer (homogenization buffer containing 0.1% ascorbic acid) for use in the binding assay. Aliquots (250 µl) of membrane suspension (50 mg protein) were incubated (60 min; 37°C; total volume 500 µl) with concentrations (0.01-40 nM) of [3H]-mesulergine. Nonspecific binding was determined in the presence of 1 mM mianserin.

Samples were filtered through polyethyleneimine-coated Whatman GF/C filters (Whatman Inc., Clifton, NJ) with a Brandel Cell Harvester (Brandel Laboratories, Gaithersburg, MD). The filters were washed twice with 1.5 ml ice-cold buffer and counted with a Beckman LS7500 liquid scintillation counter (Beckman Instruments, Berkeley, CA). Protein was determined with the method of Lowry et al. (1951) using BSA as a standard.

10 Data Analysis

Concentration response data were fit with non-linear regression to the model:

$$E = \frac{E_{\max}}{1 + \left(\frac{EC_{50}}{A} \right)^n} \quad (1)$$

where E is the measured response at a given agonist concentration (A), E_{\max} = maximal response, EC_{50} = the concentration of agonist producing half-maximal response, and n = slope index. Calculation of apparent antagonist dissociation constants (K_B) was determined with the equation:

$$K_B = \frac{[B]}{dr - 1} \quad (2)$$

where B is the concentration of the antagonist used and dr represents the ratio (dose ratio) of concentrations (EC_{50}) that produced equivalent responses in the absence and presence of antagonist. Data from saturation binding studies were analyzed with nonlinear regression analysis. After fitting nonspecific data to the equation describing a straight line with the origin at 0,0 ($y = mx$) to determine m, total binding

data were fit to equation 3 to provide estimates of B_{\max} , K_d , and slope factor (n):

$$B = \frac{B_{\max}}{\left[\frac{K_d}{[A]} + 1 \right]^n} + m [A] \quad (3)$$

where m is the slope of the linear regression line for nonspecific binding.

Example 2: Method taken from Herrick-Davis et al. 2000

Cell Culture and Transfection

COS-7 cells were grown in Dulbecco's modified Eagle's medium (DMEM) with 10% fetal bovine serum in a humidified incubator with 5% CO₂ at 37°C. Twenty-four hours before transfection, cells were seeded at 10⁵ cells/well in 24-well cluster plates for IP assays and for [³H]mesulergine binding studies performed in parallel to monitor receptor expression. Cells were transfected with the rat or human 5-HT_{2C} receptor by combining 2 ml of lipofectAMINE with 0.5 mg of plasmid DNA in 400 ml of serum-free DMEM and added to each well for 5 h at 37°C/5% CO₂. For radioligand binding studies, COS-7 cells were seeded at 80% confluence in 100-mm dishes and transfected with 5 mg of plasmid DNA, 20 ml of lipofectamine in 4 ml of serum-free DMEM for 5 h at 37°C/5% CO₂. After transfection, cells were returned to complete culture medium for 48 h before membrane preparation for radioligand binding studies.

IP Production Assays

IP production was measured according to the method of Herrick-Davis et al., 1999. In brief, 24 h after transfection COS-7

cells were washed with PBS and labeled over-night with 0.5 mCi/well of myo-[³H]inositol in inositol-free/serum-free DMEM at 37°C/5% CO₂. After labeling, cells were washed with PBS and preincubated in inositol-free/serum-free DMEM with 10 mM LiCl and 10 mM pargyline (assay medium) for 10 min. Antipsychotic drugs were added during the 10-min preincubation. 5-HT, or assay medium alone, was added to each well and incubation continued for an additional 35 min to determine basal activity. Assay medium was removed and cells were lysed in 200 ml of stop solution (1 M KOH/18 mM sodium borate/3.8 mM EDTA) and neutralized by adding 200 ml of 7.5% HCl. The contents of each well were extracted with 3 volumes of chloroform:methanol (1:2, v/v) and centrifuged 5 min at 10,000g, and the upper layer was loaded onto a 1-ml AG1-X8 resin (100-200 mesh) column. Columns were washed with 10 ml of 5 mM myo-inositol and 10 ml of 5 mM sodium borate/60 mM sodium formate. Total [³H]IPs were eluted with 3 ml of 0.1 M formic acid/1 M ammonium formate. Radioactivity was measured by liquid scintillation counting in Ecoscint cocktail.

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Radioligand Binding

Membranes were prepared by scraping a confluent 100-mm dish of transfected COS-7 cells into 20 ml of 50 mM Tris-HCl/5 mM MgSO₄/0.5 mM EDTA, pH 7.4 (assay buffer) and centrifugation at 10,000g for 30 min. Membranes were resuspended in 20 ml of assay buffer, homogenized, and centrifuged again. After resuspension in 15 ml of assay buffer, 0.5-ml membrane aliquots were added to each assay tube containing 1 nM [³H]mesulergine and varying concentrations of competing drug in a final volume of 1 ml. Mianserin (10 mM) was used to define nonspecific binding. Samples were incubated at 37°C for 30 min, filtered through glass fiber filters (presoaked in 0.3% polyethylenamine) on a Brandel cell harvester, and counted in

Ecoscint cocktail in a liquid scintillation counter (Beckman, Berkeley, CA) at 40% efficiency.

Data Analyses. Data analyses were performed using Prism software (GraphPad, San Diego, CA). The Cheng/Prusoff equation was used to calculate K_i values from IC_{50} values.

Further examples are as detailed in the method sections of the following references:

10

The binding of serotonergic ligands to the porcine choroids plexus: characterization of a new type of serotonin recognition site" Pazos A, Hoyer D and JM Palacios, Eur J Pharmacol. 1985, 106:539-546

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Molecular pharmacology of 5-HT₁ and 5-HT₂ recognition sites in rat and pig brain membranes: radioligand binding studies with [3H]5-HT, [3H]8-OH-DPAT, (-)[125I]iodocyanopindolol, [3H]mesulergine and [3H]ketanserin" Hoyer D, Engel G and HO Kalkman, Eur J Pharmacol. 1985, 118(1-2):13-23

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"Quantitative autoradiographic mapping of serotonin receptors in the rat brain. I. Serotonin-1 receptors" Pazos A and JM Palacios, Brain Res. 1985 ;346(2):205-30

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"Serotonin 5-HT_{1C} receptors are expressed at high density on choroid plexus tumors from transgenic mice" Yagaloff KA, Lozano G, Van Dyke T, Levine A and PR Hartig, Brain Res. 1986, 385(2):389-94

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CLAIMS

1. The use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of negative symptoms of or cognitive dysfunction in schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment, with the proviso that:
 - (a) for the treatment of negative symptoms of or cognitive dysfunction in schizophrenia or refractory schizophrenia, the 5-HT_{2C} receptor antagonist is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone; and
 - (b) for the treatment of schizophrenic suicidality, the 5-HT_{2C} receptor antagonist is other than clozapine.
2. The use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of negative symptoms of schizophrenia, with the proviso that the antagonist is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone.
3. The use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of cognitive dysfunction in schizophrenia, with the proviso that the antagonist is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone.
4. The use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of refractory schizophrenia, with the proviso that the antagonist is other

than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone.

5. The use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of suicidality, with the proviso that, when the suicidality is in a schizophrenic patient, the 5-HT_{2C} receptor antagonist is other than clozapine.

6. The use of claim 5, wherein the suicidality is in a schizophrenic patient.

7. The use of a 5-HT_{2C} receptor antagonist in the manufacture of a medicament for the treatment of mild cognitive impairment.

8. The use of any one of claims 1 to 7 wherein the 5-HT_{2C} receptor antagonist is as described in one of WO 97/16429, WO 97/44334, US 05010078, EP 161,218, EP 401,707, EP 526,434, DE 02834114, EP 210,893, US 03580916, US 05043341, EP 620,222, EP 208,235, EP 437,790, DE 02614406, US 04338317, EP 271,013, EP 110,435, EP 398,326, WO 92/05170, WO 95/01976, WO 96/23783, WO 98/04289, WO 97/48700, WO 00/48602, WO 00/26186, WO 99/58490, WO 99/52517, WO 99/51237, WO 99/46245, WO 99/43319, WO 99/33841, WO 99/33840, WO 99/25356, WO 99/09017, WO 99/03833, WO 99/00119, WO 98/56367, WO 98/52943, WO 98/50358, WO 98/50346, WO 98/50343, WO 98/41527, WO 98/38165, WO 98/30561, WO 98/30546, WO 98/24785, WO 98/21958, WO 98/04261, WO 97/48699, WO 97/41858, WO 97/39001, WO 97/37989, WO 97/20845, WO 97/12880, WO 97/08167, WO 97/06155, WO 97/00872, WO 96/39382, WO 96/30366, WO 96/24351, WO 96/23769, WO 96/18629, ~~WO 96/14320, WO 96/11930, WO 96/11929, WO 96/02537, WO~~
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9. The use of any one of claims 1 to 7 in which the 5-HT_{2C} receptor antagonist is AHR-16303B (AH Robins Co. Inc), AP-792 and AT-1015 (Ajinomoto Co. Inc.), BMS-181102 (Bristol Myers Squibb), CV-5197 (Takeda Chemical Industries Ltd), dotarizine (Ferrer Internacional SA), E-2101 (Eisai Co Ltd), eltoprazine (Solvay SA), emopamil (Knoll AG), HT-90B (Chugai Pharmaceutical Co Ltd), ICI-169369 and ICI-170809 (Zeneca

Group plc), LU-26042 and LU-29066 (H Lundbeck A/S), NPC-18166 (Scios Inc), Org-38457 (NV Organon), pelanserin (Cinvestav), perbufylline (Siegfried Group), SB-206553 and SB-242084 (SmithKline Beecham), SR-46615A (Sanofi Recherche SA), SUN-9221 (Suntory Ltd) tropoxin (Russian Academy Medical Science) or YM-992 (Yamanouchi Pharmaceutical Co Ltd).

10. The use of any one of claims 1 to 7 in which the 5-HT_{2C} receptor antagonist is Ro-60-0759, RS-102221, SDZ-SER-082, ICI-169369, deramciclane, N-desmethyl-deramciclane, amesergide, sergolexole, CGS-18102A or LU-26042.

11. The use of claim 10 in which the 5-HT_{2C} receptor antagonist is deramciclane, N-desmethyl-deramciclane, amesergide, sergolexole, CGS-18102A or LU-26042.

12. The use of any one of claims 5 to 7 wherein the 5-HT_{2C} receptor antagonist is ritanserine, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone.

13. The use of a compound having a relative 5-HT_{2C} affinity of ≥ 1.80 , wherein the relative 5-HT_{2C} affinity is determined according to formula I:

Formula I:

$$\frac{X}{A} + \frac{X}{B}$$

[wherein: X is the affinity of a compound for interaction at the 5-HT_{2C} receptor and A and B are the average affinity values of a compound for interaction at two major sites other than the 5-HT_{2C} receptor] in the manufacture of a medicament for the treatment of negative symptoms of or cognitive

dysfunction in schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment, with the proviso that:

- (a) for the treatment of negative symptoms of or cognitive dysfunction in schizophrenia or refractory schizophrenia, the compound is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone; and
- (b) for the treatment of schizophrenic suicidality, the compound is other than clozapine.

14. A method for determining the suitability of a candidate compound for use in the treatment of negative symptoms of or cognitive dysfunction in schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment which comprises:

- a) assessing the affinity of the compound at the 5-HT_{2C} receptor;
- b) assessing the affinity of the compound at at least two other major sites of said compound interaction;
- c) applying the assessed affinities to the following formula:

$$\frac{X}{A} + \frac{X}{B} = Y$$

[wherein: X is the affinity of a compound for interaction at the 5-HT_{2C} receptor and A and B are the average affinity values of a compound for interaction at two major sites other than the 5-HT_{2C} receptor];

and selecting compounds in which $Y \geq 1.80$ as suitable compounds for the treatment of cognitive dysfunction in or negative symptoms of schizophrenia, refractory schizophrenia, suicidality or mild cognitive impairment, provided that:

- (a) for the treatment of cognitive dysfunction in or negative symptoms of schizophrenia or refractory schizophrenia, the compound selected is other than ritanserin, clozapine, fluperlapine, loxapine, ORG-5222, pipamperone, sertindole, olanzapine, zotepine or ziprasidone; and
- (b) for the treatment of schizophrenic suicidality, the compound selected is other than clozapine.

15. The use of claim 13 or method of claim 14 in which A and B are different and are independently selected from the group consisting of the: 5-HT_{1A}, 5-HT_{2A}, 5-HT₃, 5-HT₆, 5-HT₇, D₁, D₂-S, D₂-L, D₃, D₄, D₅, M₁, ~~M~~M₂, M₃, M₄, M₅, mACh, α_1 , α_2 , H₁ or sigma receptors.

16. The use or method of claim 15 in which A is the value for affinity at the 5-HT_{2A} receptor.

17. The use or method of claim 15 in which B is the value for affinity at the ~~D~~D₂ receptor.

18. Products containing a 5-HT_{2C} receptor antagonist and a typical antipsychotic as a combined preparation for simultaneous, separate or sequential use in schizophrenia therapy.

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